

Border basis, Hilbert Scheme of points and flat deformations

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(from joint paper with Jerome Brachat and Bernard Mourrain)

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General Techniques in Computer Algebra

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- ▶ Some methods **are stable** under perturbation:

Resultants, Cartan 1945; Kuranishi 1957;

Border basis: Mourrain, Trébuchet: 1999 -2008; and Kehrein, Kreuzer, Robbiano: 2005-2008.

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$$h_\alpha^z(\underline{x}) := \underline{x}^\alpha - \sum_{\beta \in B} z_{\alpha, \beta} \underline{x}^\beta \equiv 0$$

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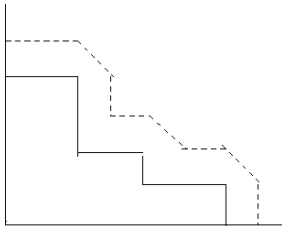
- . The $h_\alpha^z(\underline{x})$ will be called, **the border relations** of \mathcal{A} w.r.t. B .

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- ▶ Border relations, are **re-writing rules**



Define a “normal form” , N^z

$$\text{For } \beta \in B, N^z(\underline{\mathbf{x}}^\beta) = \underline{\mathbf{x}}^\beta ,$$

$$\text{For } \alpha \in \partial B. N^z(\underline{\mathbf{x}}^\alpha) = \underline{\mathbf{x}}^\alpha - h_\alpha^z(\underline{\mathbf{x}}) = \sum_{\beta \in B} z_{\alpha,\beta} \underline{\mathbf{x}}^\beta$$

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► The tables of multiplication $M_{x_i}^z : \langle B \rangle \rightarrow \langle B \rangle$ are constructed using $M_{x_i}^z(\underline{\mathbf{x}}^\beta) = N^z(x_i \underline{\mathbf{x}}^\beta)$ for $\beta \in B$. **These operators of multiplication commute.**

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► Notice that the coefficients of the matrix of $M_{x_i}^z$ in the basis B are linear in the coefficients z 's.

Border equations

► Conversely, if we are interested in characterizing the coefficients $\mathbf{z} := (z_{\alpha,\beta})_{\alpha \in \partial B, \beta \in B}$ such that the polynomials $(h_{\alpha}^{\mathbf{z}}(\underline{\mathbf{x}}))_{\alpha \in B}$ are the border relations of some free A -algebra $\mathcal{A}^{\mathbf{z}} = A[x_1, \dots, x_n]/I$ with basis B .

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Theorem

Let B be a set of μ monomials connected to 1. The polynomials $h_{\alpha}^{\mathbf{z}}(\mathbf{x})$, $\mathbf{z} \in A$, are the border relations of some free quotient algebra \mathcal{A} of $A[x_1, \dots, x_n]$ of basis B iff

$$M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0 \quad \text{for } 1 \leq i < j \leq n. \quad (1)$$

$$\mathcal{H}_B := \{ \mathbf{z} = (z_{\alpha,\beta}) \in \mathbb{K}^{\partial B \times B}; M_{x_i}^{\mathbf{z}} \circ M_{x_j}^{\mathbf{z}} - M_{x_j}^{\mathbf{z}} \circ M_{x_i}^{\mathbf{z}} = 0 \ 1 \leq i < j \leq n \}$$

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- ▶ Let us perturb the system $\mathbf{f} = \mathbf{f}^0 + \varepsilon \mathbf{f}^1 + \dots$, and let $A = \mathbb{K}[[\varepsilon]]$, $R^\varepsilon = \mathbb{K}[[\varepsilon]][\mathbf{x}] = A[\mathbf{x}]$, $\mathcal{A} := R^\varepsilon/I$ and, $(\mathbf{f}) = I$ with I^0 describing the initial finite zero-set.

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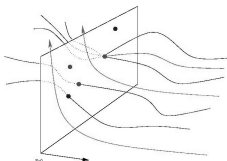
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"isolated, embedded points, points going to infinite"

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Flatness means the monomial basis B is still a basis of \mathcal{A} as $\mathbb{K}[[\varepsilon]]$ module (assumed \mathcal{A} is finite $\mathbb{K}[[\varepsilon]]$ -module)

Flatness criterion

► More generally, let $(A, \mathfrak{m}, \mathbb{K})$ be a henselian ring. Start with a deformed situation $\mathbf{f} \in A[\mathbf{x}]^s$, $\mathbf{f} = \mathbf{f}^0 + \varepsilon \mathbf{f}^1 + \cdots$; $\varepsilon \in \mathfrak{m}$, denote by $I = (\mathbf{f})A[\mathbf{x}]$, $I^0 = (\mathbf{f}^0)\mathbb{K}[\mathbf{x}]$ and $\mathcal{A} := A[\mathbf{x}]/I$ and the residual (initial) situation $\mathcal{A}^0 = \mathbb{K}[\mathbf{x}]/I^0$.

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QUESTION:

Conditions for $\mathcal{A} = A[\mathbf{x}]/I$ (resp. $\mathcal{A}_a = S^{-1}(A[\mathbf{x}]/I)$) to be a flat (hence free) A module? What can we say of a border basis of \mathcal{A} (or \mathcal{A}_a), assuming one knows a border basis mod. \mathfrak{m} ?

Flatness criterion (conti..)

Starting with border relations for the residual algebra \mathcal{A}^0

$$h_{\beta}^0 := x^{\beta} - \sum z_{\alpha\beta}^0 x^{\alpha} ; z_{\alpha\beta}^0 \in \mathbb{K}$$

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we try to lift them to get border relations in \mathcal{A}

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$$\tilde{h}_\beta = x^\beta - \sum z_{\alpha\beta} \mathbf{x}^\alpha$$

for $\beta \in \partial B$ and $\alpha \in B$, where $z_{\alpha\beta}$ are unknowns, that that we try to determine as elements of A s.t. $z_{\alpha\beta} \bmod \mathfrak{m} = z_{\alpha\beta}^0$

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We reduce the generators \mathbf{f}^β 's with the \tilde{h}_β 's ($\beta \in \partial B$), and we impose the condition that the remainder must be zero .

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Obtaining a Hensel system with a unique solution $z_{\alpha\beta} \in A$, lifting $z_{\alpha\beta}^0$. Write $h_\beta = x^\beta - \sum z_{\alpha\beta} \mathbf{x}^\alpha$ and set

$$\mathcal{H} := ((h_\beta)_{\beta \in \partial B}) S^{-1} A[\mathbf{x}] \subset IS^{-1} A[\mathbf{x}]$$

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WE GET FLATNESS, iff the lifted border relations:

- ▶ i) verify the equations of commutativity, in order to be border basis of $A[\mathbf{x}]/(h_{\alpha\beta})$, and
- ▶ ii) generate the ideal of the beginning: $I S^{-1} A[\mathbf{x}] = \mathcal{H}$
(generators of I reduce to zero mod. the lifted equations)

Example

We consider the perturbation

$$f_1^\varepsilon = x^2 - \varepsilon x, \quad f_2^\varepsilon = xy - \varepsilon x, \quad f_3^\varepsilon = xy - \varepsilon y, \quad f_4^\varepsilon = y^2 - \varepsilon y, \quad f_5^\varepsilon = \varepsilon x - \varepsilon^2, \\ f_6^\varepsilon = \varepsilon y - \varepsilon^2.$$

- ▶ We have $I^0 = (x^2, xy, y^2)$ and $I = (f_1^\varepsilon, \dots, f_6^\varepsilon)$.
- ▶ The set $B = \{1, x, y\}$ is a basis of R/\mathcal{J}^0 and the border relations are $h_{x^2}^0 = x^2$, $h_{xy}^0 = xy$, $h_{y^2}^0 = y^2$. As $h_{x^2}^0 = f_1^0$, $h_{xy}^0 = f_2^0$, $h_{y^2}^0 = f_4^0$, these border relations lift in

- $\tilde{h}_{x^2}^\varepsilon = f_1^\varepsilon = x^2 - \varepsilon x$,
- $\tilde{h}_{xy}^\varepsilon = f_2^\varepsilon = xy - \varepsilon x$,
- $\tilde{h}_{y^2}^\varepsilon = f_4^\varepsilon = y^2 - \varepsilon y$.

▶ After reduction by the formal border relations and resolution of the corresponding (linear) system, we have $h_m^\varepsilon = \tilde{h}_m^\varepsilon$, so that $I S^{-1}A[x] = \mathcal{H}$.

▶ Only need to check that the multiplication operators by x and y commute, so that the polynomials $h_{x^2}^\varepsilon, h_{xy}^\varepsilon, h_{y^2}^\varepsilon$ are border relations for B .

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$\partial B = \{1, x, y, x^2, xy, x^2y\}$ For t small enough the system has 6 roots be very near to $(0, 0)$: a cluster and another more point away it.

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▶ We introduce the \tilde{h} 's:

$$\tilde{h}_{y^2} := y^2 + a_0 + a_1x + a_2x^2 + a_3y + a_4yx + a_5yx^2$$

$$\tilde{h}_{x^3} := x^3 + b_0 + b_1x + b_2x^2 + b_3y + b_4yx + b_5yx^2$$

$$\tilde{h}_{x^3y} := x^3y + c_0 + c_1x + c_2x^2 + c_3y + c_4xy + c_5x^2y$$

▶ reduce f_1, f_2 's with \tilde{h} 's, obtaining some Hensel equations

$$a_1 = a_2 = a_3 = a_4 = 0, \quad b_1 = b_2 = b_3 = b_4 = 0$$

$$a_0 = t, \quad b_0 = -t^2, \quad a_5 = t, \quad b_5 = 1,$$

$$c_2 = \frac{t(1 + tc_5)^2}{-1 - 3tc_5 - 3t^2c_5^2 - t^3c_5^3 + t^5}$$

$$c_0 = \frac{t^3c_2}{1 + tc_5}, \quad c_1 = \frac{t^4c_2}{(1 + tc_5)^2}, \quad c_3 = \frac{-t^2}{1 + tc_5}, \quad c_4 = \frac{-t^3}{(1 + tc_5)^2}$$

$$-t^6c_5^7 - 6t^5c_5^6 - 15t^4c_5^5 + (-20t^3 + t^8 + t^6)c_5^4 + (-15t^2 + 4t^5 + 2t^7)c_5^3 + (6t^4 - 6t)c_5^2 + (-1 - 2t^5 + 4t^3)c_5 + (t^2 + t^9 - t^4) = 0$$

► We approximate till $o(t^{10})$ with Newton method the rational functions, and the “unique” solution of the last equation near zero

$$t^2 - t^4 + 4t^5 - 6t^7 + 16t^8 + 3t^9 + O(t^{10})$$

$$t^2 - t^4 - 2t^5 + 6t^7 + 7t^8 - 3t^9 + O(t^{10})$$

$$t^2 - t^4 - 2t^5 + 6t^7 + 7t^8 - 3t^9 -$$

$$35t^{10} - 30t^{11} + 45t^{12} + 210t^{13} + 128t^{14} + O(t^{15})$$

► Using $c_5 = t^2 - t^4 - 2t^5$, or $c_5 = t^2$, leads to the two following (approximating) matrices for the multiplication by y in the basis of monomials under the staircase:

$$\text{aprMy} := \begin{bmatrix} 0 & 0 & -t & 0 & -t^3 - t^5 & -t^4 + 2t^7 \\ 0 & 0 & 0 & 0 & -t - t^6 & -t^5 \\ 1 & 0 & 0 & 0 & -t^3 + t^6 & t^5 - t^7 \\ 0 & 0 & 0 & 0 & -t^2 + t^5 & -t + t^4 - 2t^6 - 3t^7 \\ 0 & 1 & 0 & 0 & -t^4 + 2t^7 & -t^3 + 2t^6 \\ 0 & 0 & -t & 1 & t^3 - t^5 - 2t^6 & t^2 - t^4 - 2t^5 + 4t^7 \end{bmatrix}$$

$$\text{AprMy} := \begin{bmatrix} 0 & 0 & -t & 0 & -t^3 & -t^4 \\ 0 & 0 & 0 & 0 & -t & -t^5 \\ 1 & 0 & 0 & 0 & -t^3 & t^5 \\ 0 & 0 & 0 & 0 & -t^2 & -t \\ 0 & 1 & 0 & 0 & -t^4 & -t^3 \\ 0 & 0 & -t & 1 & t^3 & t^2 \end{bmatrix}$$

We may use the characteristic polynomial of one of these matrices. For small values of t , say $|t| \leq 10^{-2}$, the second one is sufficient:

$$Gy = y^6 + (-t^2 + t^4) y^5 + (t^6 + 3t) y^4 + (t^7 + t^8 + t^{10} - 2t^3) y^3 + (t^7 + 3t^2) y^2 + (-t^6 + 2t^9 - t^4) y + (t^3 - t^8)$$

Computing with 12 digits we get correct answers up to many digits for the cluster of six roots. The same computation, when using a floating point Gröbner basis computation needs around 200 digits of precision.

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► One can cover the functor **Hilb** $_{\mathbb{P}^n}^\mu$ with an open covering of affine representable subfunctors namely **H** $_u^B$ (B a set of μ monomials of degree, stable by division and $u \in S_1$; s.t. **H** $_u^B$ is represented by **Spec** $(\mathbb{K}[(z_{\alpha,\beta})_{\alpha \in \delta B, \beta \in B}]/\mathcal{R})$, where \mathcal{R} is the ideal of *commutating relations*).

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- Plücker coordinates of Δ as an element of $\mathbb{P}(\wedge^\mu S_d^*)$ are given by:

$$\Delta_{\beta_1, \dots, \beta_\mu} = \begin{vmatrix} \delta_1(\mathbf{x}^{\beta_1}) & \cdots & \delta_1(\mathbf{x}^{\beta_\mu}) \\ \vdots & & \vdots \\ \delta_\mu(\mathbf{x}^{\beta_1}) & \cdots & \delta_\mu(\mathbf{x}^{\beta_\mu}) \end{vmatrix}$$

for $\beta_i \in \mathbb{N}^{n+1}$, $|\beta_i| = d$ and $\beta_1 < \cdots < \beta_\mu$.

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► In A-B-M (2008), Brachat-Lella-Mourrain-Roggero (2010), Lederer (?), find an immersion of it inside the $\mathcal{G}r_{S_d^*}^\mu(X)$ with global equations of degree two. In the following we show how to get it inside a product of Grassmanians with equations of degree two.

Global equations for $\mathbf{Hilb}_{\mathbb{P}^n}^{\mu}(X)$

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 $B = (b_1, \dots, b_{\mu})$ be a family of homogeneous polynomials of degree d , then,
$$\Delta_B a - \sum_{i=1}^{\mu} \Delta_{B^{[b_i|a]}} b_i = 0 \text{ in } \Delta, \text{ for } a \in S_d^A$$
where $B^{[b_i|a]} = (b_1, \dots, b_{i-1}, a, b_{i+1}, \dots, b_{\mu})$.

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 where $B^{[b_i|a]} = (b_1, \dots, b_{i-1}, a, b_{i+1}, \dots, b_\mu)$. Let it be

$$M := \begin{bmatrix} \delta_1(a) & \delta_1(b_1) & \cdots & \delta_1(b_\mu) \\ \vdots & \vdots & \ddots & \vdots \\ \delta_\mu(a) & \delta_\mu(b_1) & \cdots & \delta_\mu(b_\mu) \\ 1 & 1 & \cdots & 1 \end{bmatrix}$$

As $M \text{Adj}(M)^t = \det(M) \mathbf{I}_{(\mu+1) \times (\mu+1)}$. We get the last equality

$$M \begin{bmatrix} \Delta_B \\ \Delta_{B^{[b_1|a]}} \\ \vdots \\ \Delta_{B^{[b_\mu|a]}} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ \det(M) \end{bmatrix}.$$

Developing this product, the first μ coordinates show that every δ_j vanishes at
 $\Delta_B a - \sum_{i=1}^\mu \Delta_{B^{[b_i|a]}} b_i = 0$, therefore $\Delta_B a - \sum_{i=1}^\mu \Delta_{B^{[b_i|a]}} b_i = 0 \in \Delta$.

Conti.

Theorem: Let $d \geq \mu$ be an integer. $\mathbf{Hilb}_{\mathbb{P}^n}^\mu(X)$ is the projection on $\mathcal{G}r_{S_d}^\mu(X)$ of the variety of $\mathcal{G}r_{S_d}^\mu(X) \times \mathcal{G}r_{S_{d+1}}^\mu(X)$ defined by the equations

$$\Delta_B \Delta'_{B', x_k a} - \sum_{b \in B} \Delta_{B[b|a]} \Delta'_{B', x_k b} = 0,$$

for all families B (resp. B') of μ (resp. $\mu - 1$) monomials of degree d (resp. $d + 1$), all monomial $a \in S_d^A$ and for every k (where $B', x_k a$ is the family $(b'_1, \dots, b'_{\mu-1}, x_k a)$).

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Proof. Let $(\Delta, \Delta') \in \mathcal{G}r_{S_d^*}^{\mu}(X) \times \mathcal{G}r_{S_{d+1}^*}^{\mu}(X)$ satisfying the equations above.

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Let us to prove that $S_1 \cdot \ker \Delta \subset \ker \Delta'$. Let B be a basis of Δ (so that Δ_B is invertible in A), and let f be an element of $\ker \Delta$.

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Theorem: Let $d \geq \mu$ be an integer. $\text{Hilb}_{\mathbb{P}^n}^\mu(X)$ is the projection on $\mathcal{G}r_{S_d^*}^\mu(X)$ of the variety of $\mathcal{G}r_{S_d^*}^\mu(X) \times \mathcal{G}r_{S_{d+1}^*}^\mu(X)$ defined by the equations

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for all families B (resp. B') of μ (resp. $\mu - 1$) monomials of degree d (resp. $d + 1$), all monomial $a \in S_d^A$ and for every k (where $B', x_k a$ is the family $(b'_1, \dots, b'_{\mu-1}, x_k a)$).

Proof. Let $(\Delta, \Delta') \in \mathcal{G}r_{S_d^*}^\mu(X) \times \mathcal{G}r_{S_{d+1}^*}^\mu(X)$ satisfying the equations above. $\Delta = S_d/I_d$ with $\ker(\Delta) := I$ satur. homog. ideal of S_d .)

Let us to prove that $S_1 \cdot \ker \Delta \subset \ker \Delta'$. Let B be a basis of Δ (so that Δ_B is invertible in A), and let f be an element of $\ker \Delta$. By linearity, equations above imply that $\Delta'_{B', x_k f} = 0$ for all $k = 1, \dots, n$ and all subset B' of $\mu - 1$ monomials of degree $d + 1$ (because $\Delta_{B[b|f]} = 0$).

Conti.

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Proof. The reciprocal argument is similar using the same determinantal equality.

Equations for $\mu = n = 2$

$$\mathbf{Hilb}_{\mathbb{P}^n}^{\mu}(X) \longleftrightarrow$$

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$$\left\{ \begin{array}{l} \Delta_{y^2,xy} \Delta_{x^2,xz} - \Delta_{xy,yz} \Delta_{x^2,xy} = 0, \\ \Delta_{y^2,xy} \Delta_{x^2,yz} - \Delta_{xy,xz} \Delta_{y^2,xy} - \Delta_{xy,yz} \Delta_{x^2,y^2} = 0, \\ \Delta_{x^2,xy} \Delta_{xy,xz} + \Delta_{x^2,y^2} \Delta_{x^2,xz} - \Delta_{x^2,yz} \Delta_{x^2,xy} = 0, \\ \Delta_{x^2,xy} \Delta_{z^2,xy} - \Delta_{xy,xz}^2 - \Delta_{x^2,xz} \Delta_{xy,yz} = 0, \\ \Delta_{x^2,xy} \Delta_{x^2,z^2} - \Delta_{xy,xz} \Delta_{x^2,xz} - \Delta_{x^2,xz} \Delta_{x^2,yz} = 0, \\ \Delta_{z^2,xz} \Delta_{x^2,xy} - \Delta_{xz,yz} \Delta_{x^2,xz} = 0, \\ \Delta_{z^2,xz} \Delta_{x^2,zy} - \Delta_{xy,xz} \Delta_{z^2,xz} - \Delta_{zy,xz} \Delta_{x^2,z^2} = 0, \\ \Delta_{x^2,xz} \Delta_{xy,xz} + \Delta_{x^2,z^2} \Delta_{x^2,xy} - \Delta_{x^2,zy} \Delta_{x^2,xz} = 0, \\ \Delta_{x^2,xz} \Delta_{y^2,xz} - \Delta_{xy,xz}^2 - \Delta_{x^2,xy} \Delta_{xz,yz} = 0, \\ \Delta_{x^2,xz} \Delta_{x^2,y^2} - \Delta_{xy,xz} \Delta_{x^2,xy} - \Delta_{x^2,xy} \Delta_{x^2,zy} = 0, \\ \Delta_{y^2,xz} \Delta_{yz,xz} + \Delta_{yz,xz} \Delta_{xy,yz} - \Delta_{yz,xz} \Delta_{y^2,xz} - \Delta_{z^2,xy} \Delta_{xy,y^2} = 0, \\ \Delta_{xy,y^2} \Delta_{yz,xz} + \Delta_{xy,yz} \Delta_{xy,yz} - \Delta_{xy,yz} \Delta_{y^2,xz} - \Delta_{xy,z^2} \Delta_{xy,y^2} = 0, \\ \Delta_{y^2,xz} \Delta_{z^2,xy} + \Delta_{yz,xz} \Delta_{xy,z^2} - \Delta_{yz,xz} \Delta_{yz,xz} - \Delta_{z^2,xy} \Delta_{xy,yz} = 0, \\ \Delta_{xy,y^2} \Delta_{z^2,xy} + \Delta_{xy,yz} \Delta_{xy,z^2} - \Delta_{xy,yz} \Delta_{yz,xz} - \Delta_{xy,z^2} \Delta_{xy,yz} = 0, \\ \Delta_{xy,xz}^2 - \Delta_{xz,y^2} \Delta_{x^2,xz} - \Delta_{xz,yz} \Delta_{x^2,xy} = 0, \\ \Delta_{xz,yz} \Delta_{xz,x^2} + \Delta_{xz,z^2} \Delta_{xy,x^2} = 0, \\ \Delta_{xv,xz}^2 - \Delta_{xy,yz} \Delta_{x^2,z^2} - \Delta_{xv,z^2} \Delta_{xv,yz} = 0. \end{array} \right.$$

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► They come from: commutation of the multiplication by the variables and by considering multiplication and changes of chart w.r.t the Plucker coordinates. The same were obtained by Brodsky-Sturmfels (2010), using Groebner bases.

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The commutation implies

$$\begin{aligned} M_{x_i}^\varepsilon \circ M_{x_j}^\varepsilon - M_{x_j}^\varepsilon \circ M_{x_i}^\varepsilon &= (M_{x_i}^0 \circ M_{x_j}^0 - M_{x_j}^0 \circ M_{x_i}^0) + \\ &+ \varepsilon (M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1) + \mathcal{O}(\varepsilon^2) \\ &= \varepsilon (M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1) + \mathcal{O}(\varepsilon^2) \end{aligned}$$

► We deduce the linear equations in \mathbf{h}^1

$$M_{x_i}^1 \circ M_{x_j}^0 + M_{x_i}^0 \circ M_{x_j}^1 - M_{x_j}^1 \circ M_{x_i}^0 - M_{x_j}^0 \circ M_{x_i}^1 = 0 (1 \leq i < j \leq n) [***]$$

The above are the equations of the Tangent space T_{l_0} to the variety \mathcal{H}_B at the point l_0 whose border relations are $(h_\alpha^0)_\alpha$

THANK YOU FOR YOUR ATTENTION!