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Faster change of order algorithm for Gröbner bases under shape and stability assumptions

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outline

▶ **problem and result**

▶ **previous work**

▶ **new ingredients**

▶ **conclusion | perspectives**

outline

▶ **problem and result**

- ▶ problem: change of monomial order
- ▶ faster algorithm via univariate matrices
- ▶ improved software performances

▶ **previous work**

▶ **new ingredients**

▶ **conclusion | perspectives**

problem: change of monomial order

Input:

- ▶ two monomial orders \preccurlyeq_1 and \preccurlyeq_2 on $\mathbb{K}[x_1, \dots, x_n]$
- ▶ a reduced \preccurlyeq_1 -Gröbner basis \mathcal{G}

Assumption:

- ▶ the ideal $\mathcal{J} = \langle \mathcal{G} \rangle$ is zero-dimensional

Output:

- ▶ the reduced \preccurlyeq_2 -Gröbner basis of \mathcal{J}

problem: change of monomial order

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- ▶ a reduced \preccurlyeq_1 -Gröbner basis \mathcal{G}

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- ▶ the reduced \preccurlyeq_2 -Gröbner basis of \mathcal{J}

example: solving multivariate polynomial systems

$$\begin{cases} f_1(x_1, \dots, x_n) = 0 \\ \vdots \\ f_m(x_1, \dots, x_n) = 0 \end{cases} \quad \text{with finitely many solutions over } \overline{\mathbb{K}}$$

\mathcal{G}_{drl} the reduced $\preccurlyeq_{\text{drl}}$ -GB of $\langle f_1, \dots, f_m \rangle$ [Faugère's F4/F5, 2002]

↓ change of order $\preccurlyeq_{\text{drl}} \rightarrow \preccurlyeq_{\text{lex}}$

\mathcal{G}_{lex} the reduced $\preccurlyeq_{\text{lex}}$ -GB of $\langle f_1, \dots, f_m \rangle$

problem: change of monomial order

Input:

- ▶ two monomial orders \preceq_1 and \preceq_2 on $\mathbb{K}[x_1, \dots, x_n]$
- ▶ a reduced \preceq_1 -Gröbner basis \mathcal{G}

Assumption:

- ▶ the ideal $\mathcal{J} = \langle \mathcal{G} \rangle$ is zero-dimensional

Output:

- ▶ the reduced \preceq_2 -Gröbner basis of \mathcal{J}

example: multivariate interpolation with degree constraints

\mathcal{J} = vanishing ideal of known points $\alpha_1, \dots, \alpha_D \in \mathbb{K}^n$

\preceq = monomial order defined from the degree constraints

\mathcal{G}_{lex} the reduced \preceq_{lex} -GB of \mathcal{J}

[Möller-Bucherberger 1982, Cerlienco-Mureddu 1995, Ceria-Mora 2019]



change of order $\preceq_{\text{lex}} \rightarrow \preceq$

\mathcal{G} the reduced \preceq -GB of \mathcal{J}



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International Symposium on
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[Jérémy Berthomieu & Vincent Neiger & Mohab Safey El Din, ISSAC 2022]
deterministic change of order with complexity $O(\tilde{t}^{\omega-1}D)$

change of order: better complexity & faster implementation
for $\preceq_2 = \preceq_{\text{lex}}$, under classical assumptions (stability + shape position)



[Jérémy Berthomieu & Vincent Neiger & Mohab Safey El Din, ISSAC 2022]
deterministic change of order with complexity $O\tilde{(\tau^{\omega-1}D)}$

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for $\preceq_2 = \preceq_{\text{lex}}$, under classical assumptions (stability + shape position)

description of complexity

- ▶ ω = complexity exponent of matrix multiplication
 $O\tilde{(\cdot)}$ hides a few logarithmic terms in $\frac{D}{t}$
- ▶ D = degree of the ideal $\mathcal{J} = \langle \mathcal{G} \rangle$
= vector space dimension of $\mathbb{K}[x]/\mathcal{J}$
- ▶ t = number of polynomials in \mathcal{G} with leading term divisible by x_n
(in particular, $t \leq D$)



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change of order: better complexity & faster implementation

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summary of previous results

general algorithms (deterministic, $\preceq_1 \rightarrow \preceq_2$):

- ▶ no assumption: $O(nD^3)$ [Faugere-Gianni-Lazard-Mora 1993]
- ▶ with stability: $O(nD^\omega \log(D))$ [Neiger-Schost 2020]

specific algorithms (randomized, $\preceq_{\text{drl}} \rightarrow \preceq_{\text{lex}}$, with stability+shape):

- ▶ dense linear algebra: $O(D^\omega \log(D))$ [Faugère-Gaudry-Huot-Renault 2014]
- ▶ sparse linear algebra: $O(tD^2)$ [Faugère-Mou 2011+2017]



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deterministic change of order with complexity $O^{\sim}(t^{\omega-1}D)$

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ingredients of new algorithm

► **paradigm shift** concerning the core computational object:

$M \in \mathbb{K}^{D \times D}$ with t dense rows $\xrightarrow{\text{compress}}$ $P \in \mathbb{K}[x_n]^{t \times t}$ of degree $\frac{D}{t}$
multiplication by x_n in $\mathbb{K}[x]/\mathcal{J}$ \longrightarrow $\mathbb{K}[x_n]$ -module, generates \mathcal{J}

► **preserving** essential consequence of stability: **P obtained for free** from \mathcal{G}

► new result: **Hermite normal form** of P yields \mathcal{G}_{lex}

```
sage: M.degree_matrix(shifts=[-1,2], row_wise=False)
[ 0 -2 -1]
[ 5 -2 -2]
```

`hermite_form(include_zero_rows=True, transformation=False)`

Return the Hermite form of this matrix.

The Hermite form is also normalized, i.e., the pivot polynomials are monic.

INPUT:

- `include_zero_rows` – boolean (default: True); if False, the zero rows in the output are deleted
- `transformation` – boolean (default: False); if True, return the transformation matrix

```
164 // order that remains to be dealt with
165 VecLong rem_order(order);
166
167 // indices of columns/orders that remain to be dealt with
168 VecLong rem_index(cdim);
169 std::iota(rem_index.begin(), rem_index.end(), 0);
170
171 // all along the algorithm, shift = shifted row degrees of approximant basis
172 // (initially, input shift = shifted row degree of the identity matrix)
173
174 while (not rem_order.empty())
175 {
176     /** Invariant:
177     * - appbas is a shift-ordered weak Popov approximant basis for
178     * (pmat,reached_order) where doneorder is the tuple such that
179     * -->reached_order[j] + rem_order[j] == order[j] for j appearing in
180     * -->reached_order[j] == order[j] for j not appearing in rem_index
```

software performance

EXAMPLES:

```
sage: M.<<> = GF(7)[t]
sage: A = matrix(M, 2, 3, [x, 1, 2*x, x, 1+x, 2])
sage: A.hermite_form()
[  x   1   2*x]
[  0   x  5*x + 2]
sage: A.hermite_form(transformation=True)
[  x   1   2*x] [1 0]
[  0   x  5*x + 2] [6 1]
]
sage: A = matrix(M, 2, 3, [x, 1, 2*x, 2*x, 2, 4*x])
sage: A.hermite_form(transformation=True, include_zero_rows=False)
[  x  1 2*x], [0 4]
sage: H, U = A.hermite_form(transformation=True, include_zero_rows=True); H, U
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[  0  0  0], [5 1]
]
sage: U^* A == H
True
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sage: U^* A
[  x  1 2*x]
sage: U^* A == H
True
```

See also: `is_hermite()`.

`is_hermite(row_wise=True, lower_echelon=False, include_zero_vectors=True)`

Return a boolean indicating whether this matrix is in Hermite form.

```
185 long j=0; // value if columnwise (order_wise==False)
186 if (order_wise)
187     j = std::distance(rem_order.begin(), std::max_element(rem_order.begin(), rem_order.end()));
188
189 long deg = order[rem_index[j]] - rem_order[j];
190
191 // record the coefficients of degree deg of the column j of residual
192 // also keep track of which of these are nonzero,
193 // and among the nonzero ones, which is the first with smallest shift
194 Vec<zz_p> const_residual;
195 const_residual.SetLength(rdim);
196 VecLong indices_nonzero;
197 long piv = -1;
198 for (long i = 0; i < rdim; ++i)
199 {
200     const_residual[i] = coeff(residual[i][j],deg);
201     if (const_residual[i] != 0)
202     {
203         indices_nonzero.push_back(i);
204         if (piv<0 || shift[i] < shift[piv])
205             piv = i;
206     }
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209 // if indices_nonzero is empty, const_residual is already zero, there
210 if (not indices_nonzero.empty())
211 {
212     // update all rows of appbas and residual in indices nonzero except
src/mat lzz_pX_approximant.cpp
```

open-source C/C++ software libraries

multivariate polynomial systems

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univariate polynomial matrices

PML <https://github.com/vneiger/pml>

software performance

EXAMPLES:

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sage: M.<C> = GF(7)[[
sage: A = matrix(M, 2, 3, [x, 1, 2*x, x, 1+x, 2])
sage: A.hermite_form()
[ [ x 1 2*x]
 [ 0 x 5*x + 2]
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[ [ x 1 2*x] [1 0]
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]
sage: A = matrix(M, 2, 3, [x, 1, 2*x, 2*x, 2, 4*x])
sage: A.hermite_form(transformation=True, include_zero_rows=False)
[ [ x 1 2*x], [0 4]
sage: H, U = A.hermite_form(transformation=True, include_zero_rows=True); H, U
[ [ x 1 2*x] [0 4]
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185 long j=0; // value if columnwise (order_wise==False)
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187     j = std::distance(rem_order.begin(), std::max_element(rem_order.begin(), rem_order.end()));
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189 long deg = order[rem_index[j]] - rem_order[j];
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191 // record the coefficients of degree deg of the column j of residual
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194 Vec<zz_p> const_residual;
195 const_residual.SetLength(rdim);
196 VecLong indices_nonzero;
197 long piv = -1;
198 for (long i = 0; i < rdim; ++i)
199 {
200     const_residual[i] = coeff(residual[i][j],deg);
201     if (const_residual[i] != 0)
202     {
203         indices_nonzero.push_back(i);
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src/mat_lzz_pX_approximant.cpp
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compared algorithms:

- ▶ sparse FGLM [Faugère-Mou 2011,2017]
- ▶ block-Wiedemann variant [folklore]
- ▶ new Hermite normal form-based algorithm (without SIMD vectorization for the moment)

software performance

EXAMPLES:

```
sage: M.<M> = GF(7)[]
sage: A = matrix(M, 2, 3, [x, 1, 2*x, x, 1+x, 2])
sage: A.hermite_form()
[ [ x      1      2*x]
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See also: `is_hermite()`.

`is_hermite(row_wise=True, lower_echelon=False, include_zero_vectors=True)`

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185     long j=0; // value if columnwise (order_wise==False)
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191     // record the coefficients of degree deg of the column j of residual
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193     // and among the nonzero ones, which is the first with smallest shift
194     Vec<zz_p> const_residual;
195     const_residual.SetLength(rdn);
196     VecLong indices_nonzero;
197     long piv = -1;
198     for (long i = 0; i < rdn; ++i)
199     {
200         const_residual[i] = coeff(residual[i][j],deg);
201         if (const_residual[i] != 0)
202         {
203             indices_nonzero.push_back(i);
204             if (piv<0 || shift[i] < shift[piv])
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src/mat lzz pX approximant.cpp
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software performance

EXAMPLES:

random square system, n variables, degree d
over $\mathbb{K} = \mathbb{Z}/p\mathbb{Z}$ with 30-bit modulus p

```
sage: H = cov + GF
sage: A = matrix
sage: A.hermite
| 0
| 0
sage: A.hermite form(transformation=True)
|  x  1 27x  12 81
|  0  0  81  15 11
|  0  0  81  15 11
sage: A = matrix
sage: A.hermite form(transformation=True)
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sage: U * A == H
True
See also: is_hermite()
Return a boolean indicating whether this matrix is in Hermite form.
```

	n	d	D	t
	12	2	4096	924
	14	2	16384	3432
	16	2	65536	12870
	8	3	6561	1107
	9	3	19683	3139
	10	3	59049	8953
	6	4	4096	580
	7	4	16384	2128
	8	4	65536	8092

```
long j=0; // value if columnwise (order wise==False)
...
// record the coefficients of degree deg of the column j of residual
// also keep track of which of these are nonzero,
// the first with smallest shift
...
spFGLM    block    HNF
-----
6.5        5.5      5.3
1011       358      240
58744     22059     11359
23.6      18.7     15.1
1302       525      314
34844     13315     6709
4          3.5      3.5
575        225      157
36454     13609     7231
```

spFGLM	block	HNF
6.5	5.5	5.3
1011	358	240
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problem and result

- ▶ problem: change of monomial order
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previous work

- ▶ stability and multiplication matrix
- ▶ shape position and lexicographic basis
- ▶ previously: dense or sparse linear algebra

new ingredients

conclusion | perspectives

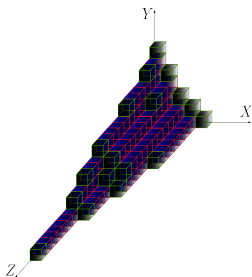
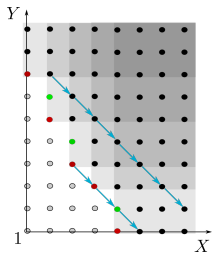
stability and multiplication matrix

x_n -stability: for any monomial $\mu \in \text{lt}_{\prec}(\mathcal{J})$ such that x_n divides μ ,
 $\frac{x_i}{x_n} \mu \in \text{lt}_{\prec}(\mathcal{J})$ for all $i \in \{1, \dots, n-1\}$

- ▶ related to classical notions of stability and of Borel-fixedness
 [Herzog-Hibi 2011, Galligo 1974, Bayer-Stillman 1987]
- ▶ easily verified: considering $\mu = \text{lt}_{\prec}(g)$ for $g \in \mathcal{G}$ is sufficient

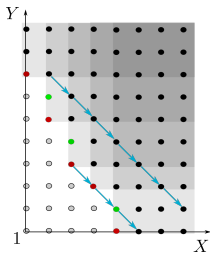
\prec -monomial basis $\mathcal{B} = \{\varepsilon_1, \dots, \varepsilon_D\}$
 = monomials not in $\text{lt}_{\prec}(\mathcal{J})$
 = vector space basis of $\mathbb{K}[x]/\mathcal{J}$

- ▶ x_n -stability \Leftrightarrow multiplying element $\varepsilon \in \mathcal{B}$ by x_n gives either $x_n \varepsilon \in \mathcal{B}$ or $x_n \varepsilon = \text{lt}_{\prec}(g)$ for some $g \in \mathcal{G}$
- ▶ in $\mathbb{K}[x]/\mathcal{J}$, the representation of $\text{lt}_{\prec}(g)$ on \mathcal{B} is $\text{lt}_{\prec}(g) - g$



stability and multiplication matrix

x_n -**stability**: for any monomial $\mu \in \text{lt}_{\preccurlyeq}(\mathcal{J})$ such that x_n divides μ ,
 $\frac{x_i}{x_n} \mu \in \text{lt}_{\preccurlyeq}(\mathcal{J})$ for all $i \in \{1, \dots, n-1\}$



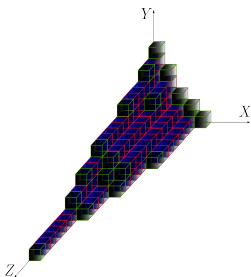
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\preccurlyeq -**monomial basis** $\mathcal{B} = \{\varepsilon_1, \dots, \varepsilon_D\}$
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- ▶ in $\mathbb{K}[x]/\mathcal{J}$, the representation of $\text{lt}_{\preccurlyeq}(g)$ on \mathcal{B} is $\text{lt}_{\preccurlyeq}(g) - g$

multiplication matrix $M_n \in \mathbb{K}^{D \times D}$ of x_n in $\mathbb{K}[x]/\mathcal{J}$

- ▶ row i = representation of $x_n \varepsilon_i$ on \mathcal{B}
- ▶ deduced directly from $\hat{\mathcal{G}} = \{g \in \mathcal{G} \mid x_n \text{ divides } \text{lt}_{\preccurlyeq}(g)\}$
- ▶ has $t = \#\hat{\mathcal{G}}$ dense rows and $D - t$ identity rows



shape position and lexicographic basis

[Becker-Mora-Marinari-Traverso 1994]

shape position: $\mathcal{G}_{\text{lex}} = \{x_1 - g_1(x_n), \dots, x_{n-1} - g_{n-1}(x_n), h(x_n)\}$

with g_1, \dots, g_{n-1}, h **univariate** in $\mathbb{K}[x_n]$

and $\deg(g_i) < \deg(h) = D$

x_n = smallest variable

g_i = parametrizations

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for **polynomial system solving**:

- ▶ solutions = $(g_1(\alpha), \dots, g_{n-1}(\alpha), \alpha)$ for all roots α of $h(x_n)$
- ▶ ensured by generic change of coordinates, if ideal is radical

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computation from the multiplication matrix M_n

$h \in \mathcal{J} \Rightarrow h(x_n)$ is zero in $\mathbb{K}[x]/\mathcal{J}$

▶ h gives a \mathbb{K} -linear combination between $\varepsilon_1, \varepsilon_1 M_n, \dots, \varepsilon_1 M_n^D$

▶ the matrix $\begin{bmatrix} \varepsilon_1 \\ \varepsilon_1 M_n \\ \vdots \\ \varepsilon_1 M_n^{D-1} \end{bmatrix} \in \mathbb{K}^{D \times D}$ is invertible (taking $\varepsilon_1 = 1$)

$\Rightarrow h(x_n)$ is the minpoly/charpoly of M_n

previously: dense or sparse linear algebra

using dense linear algebra

$$\begin{bmatrix} -\text{coeffs}(h) & 1 & & & \\ -\text{coeffs}(g_1) & & 1 & & \\ \vdots & & & \ddots & \\ -\text{coeffs}(g_{n-1}) & & & & 1 \end{bmatrix} \begin{bmatrix} \varepsilon_1 \\ \varepsilon_1 M_n \\ \vdots \\ \varepsilon_1 M_n^{D-1} \\ \varepsilon_1 M_n^D \\ \varepsilon_{x_1} \\ \vdots \\ \varepsilon_{x_{n-1}} \end{bmatrix} = 0$$

- ▶ compute **matrix** in $O(D^\omega \log(D))$ via matrix-matrix products [Keller-Gehrig 1985]
- ▶ nullspace computation in $O(D^\omega)$ [Ibarra-Hui-Moran 1982]

deterministic algo in $O(D^\omega \log(D))$

using sparse linear algebra

[Wiedemann 1986]

for random column vector $r \in \mathbb{K}^{D \times 1}$,
 scalar sequence $(\varepsilon_1 M_n^k r)_{0 \leq k < 2D}$
 \rightsquigarrow its minimal generator is $h(x_n)$

- ▶ compute **recurrent sequence** in $O(tD^2)$ via matrix-vector products
- ▶ find generator h in $O^\sim(D)$ [GCD/Padé]
- ▶ find g_1, \dots, g_{n-1} in $O^\sim(nD)$ via $n - 1$ Hankel systems [Faugère-Mou 2011, 2017]

randomized algo in $O(tD^2)$

outline

▶ problem and result

- ▶ problem: change of monomial order
- ▶ faster algorithm via univariate matrices
- ▶ improved software performances

▶ previous work

- ▶ stability and multiplication matrix
- ▶ shape position and lexicographic basis
- ▶ previously: dense or sparse linear algebra

▶ new ingredients

▶ conclusion | perspectives

outline

problem and result

- ▶ problem: change of monomial order
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previous work

- ▶ stability and multiplication matrix
- ▶ shape position and lexicographic basis
- ▶ previously: dense or sparse linear algebra

new ingredients

- ▶ paradigm shift: *sparse* → *structured*
- ▶ a univariate module with known basis
- ▶ from Hermite normal form to lex basis

conclusion | perspectives

paradigm shift: sparse \rightarrow structured

multiplication by x_n in $\mathbb{K}[x_n]/\langle h(x_n) \rangle$



companion matrix $M = \begin{bmatrix} & 1 & & \\ & & \ddots & \\ & & & 1 \\ -h_0 & -h_1 & \cdots & -h_{D-1} \end{bmatrix}$

with $\text{charpoly}(M) = h$

paradigm shift: sparse \rightarrow structured

ideal $\mathcal{J} \subset \mathbb{F}_{29}[x_1, x_2, x_3]$ generated by the $\preccurlyeq_{\text{drl}}$ -GB

$$\left\{ \begin{array}{l} x_3^4 + 3x_3^3 + 15x_1x_3 + 23x_2x_3 + 3x_3^2 + 26x_2 + 22x_3, \\ x_2x_3^2 + 5x_1x_3 + 28x_2x_3 + 3x_3^2 + 19x_1 + 15x_2 + 17, \\ x_1x_3^2 + 18x_3^3 + 24x_1x_3 + 27x_2x_3 + 19x_3^2 + 2x_1 + 9x_3 + 3, \\ x_2^2 + 12x_1x_3 + 26x_2x_3 + 5x_3^2 + 9x_1 + 6x_2 + 8x_3 + 6, \\ x_1x_2 + 6x_1x_3 + x_2x_3 + 17x_3^2 + 28x_1 + 12x_2 + 8x_3 + 11, \\ x_1^2 + x_1x_3 + 10x_2x_3 + 2x_3^2 + 3x_1 + 16x_2 + 21 \end{array} \right.$$

▶ $t = 3$ polynomials with $\preccurlyeq_{\text{drl}}$ -leading term divisible by x_3
the first 3, with leading terms $x_3^4, x_2x_3^2, x_1x_3^2$

▶ x_3 -stability holds

easily verified: for $\mu \in \{x_2x_3^2, x_1x_3^2, x_3^4\}$, $\frac{x_1}{x_3}\mu$ and $\frac{x_2}{x_3}\mu$ are in $\text{lt}_{\preccurlyeq_{\text{drl}}}(\mathcal{J})$

▶ zero-dimensional with $D = 8$

$\preccurlyeq_{\text{drl}}$ -monomial basis $\mathcal{B} = (\mathbf{1}, x_3, x_3^2, x_3^3, x_2, x_2x_3, x_1, x_1x_3)$

paradigm shift: sparse \rightarrow structured

ideal $\mathcal{J} \subset \mathbb{F}_{29}[x_1, x_2, x_3]$ generated by the \preceq_{drl} -GB

$$\begin{cases} x_3^4 + 3x_3^3 + 15x_1x_3 + 23x_2x_3 + 3x_3^2 + 26x_2 + 22x_3, \\ x_2x_3^2 + 5x_1x_3 + 28x_2x_3 + 3x_3^2 + 19x_1 + 15x_2 + 17, \\ x_1x_3^2 + 18x_3^3 + 24x_1x_3 + 27x_2x_3 + 19x_3^2 + 2x_1 + 9x_3 + 3, \\ \dots \end{cases}$$

▶ $t = 3, D = 8$

▶ x_3 -stable

▶ monomial basis $\mathcal{B} =$
 $(1, x_3, x_3^2, x_3^3, x_2, x_2x_3, x_1, x_1x_3)$

paradigm shift: sparse \rightarrow structured

ideal $\mathcal{J} \subset \mathbb{F}_{29}[\chi_1, \chi_2, \chi_3]$ generated by the \preceq_{drl} -GB

$$\left\{ \begin{array}{l} \chi_3^4 + 3\chi_3^3 + 15\chi_1\chi_3 + 23\chi_2\chi_3 + 3\chi_3^2 + 26\chi_2 + 22\chi_3, \\ \chi_2\chi_3^2 + 5\chi_1\chi_3 + 28\chi_2\chi_3 + 3\chi_3^2 + 19\chi_1 + 15\chi_2 + 17, \\ \chi_1\chi_3^2 + 18\chi_3^3 + 24\chi_1\chi_3 + 27\chi_2\chi_3 + 19\chi_3^2 + 2\chi_1 + 9\chi_3 + 3, \\ \dots \end{array} \right.$$

► $t = 3, D = 8$

► χ_3 -stable

► monomial basis $\mathcal{B} =$
 $(1, \chi_3, \chi_3^2, \chi_3^3, \chi_2, \chi_2\chi_3, \chi_1, \chi_1\chi_3)$

multiplication by χ_3 in $\mathbb{K}[\chi_1, \chi_2, \chi_3]/\mathcal{J} \longleftrightarrow \mathbb{K}[\chi_3]$ -module structure

$$M = \left[\begin{array}{cccc|cc|cc} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & -22 & -3 & -3 & -26 & -23 & 0 & -15 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ -17 & 0 & -3 & 0 & -15 & -28 & -19 & -5 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ -3 & -9 & -19 & -18 & 0 & -27 & -2 & -24 \end{array} \right] \in \mathbb{K}^{D \times D}$$

paradigm shift: sparse \rightarrow structured

ideal $\mathcal{J} \subset \mathbb{F}_{29}[x_1, x_2, x_3]$ generated by the \preceq_{drl} -GB

$$\begin{cases} x_3^4 + 3x_3^3 + 15x_1x_3 + 23x_2x_3 + 3x_3^2 + 26x_2 + 22x_3, \\ x_2x_3^2 + 5x_1x_3 + 28x_2x_3 + 3x_3^2 + 19x_1 + 15x_2 + 17, \\ x_1x_3^2 + 18x_3^3 + 24x_1x_3 + 27x_2x_3 + 19x_3^2 + 2x_1 + 9x_3 + 3, \\ \dots \end{cases}$$

▶ $t = 3, D = 8$

▶ x_3 -stable

▶ monomial basis $\mathcal{B} = (1, x_3, x_3^2, x_3^3, x_2, x_2x_3, x_1, x_1x_3)$

\preceq -Gröbner basis + x_3 -stability \Rightarrow basis of $\mathbb{K}[x_3]$ -submodule of \mathcal{J}

$$M = \left[\begin{array}{cccc|cc|cc} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & -22 & -3 & -3 & -26 & -23 & 0 & -15 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ -17 & 0 & -3 & 0 & -15 & -28 & -19 & -5 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ -3 & -9 & -19 & -18 & 0 & -27 & -2 & -24 \end{array} \right] \in \mathbb{K}^{D \times D}$$

basis of $\mathbb{K}[x_3]$ -module $\mathcal{J} \cap (\mathbb{K}[x_3] + x_2\mathbb{K}[x_3] + x_1\mathbb{K}[x_3])$

$$P = \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ 3x_3^2 + 17 & x_3^2 + 28x_3 + 15 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \in \mathbb{K}[x_3]^{t \times t}$$

paradigm shift: sparse \rightarrow structured

ideal $\mathcal{J} \subset \mathbb{F}_{29}[x_1, x_2, x_3]$ generated by the \preceq_{drl} -GB

$$\begin{cases} x_3^4 + 3x_3^3 + 15x_1x_3 + 23x_2x_3 + 3x_3^2 + 26x_2 + 22x_3, \\ x_2x_3^2 + 5x_1x_3 + 28x_2x_3 + 3x_3^2 + 19x_1 + 15x_2 + 17, \\ x_1x_3^3 + 18x_3^3 + 24x_1x_3 + 27x_2x_3 + 19x_3^2 + 2x_1 + 9x_3 + 3, \\ \dots \end{cases}$$

▶ $t = 3, D = 8$

▶ x_3 -stable

▶ monomial basis $\mathcal{B} = (1, x_3, x_3^2, x_3^3, x_2, x_2x_3, x_1, x_1x_3)$

\preceq -Gröbner basis + x_3 -stability \Rightarrow basis of $\mathbb{K}[x_3]$ -submodule of \mathcal{J}

$$M = \left[\begin{array}{cccc|cc|cc} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & -22 & -3 & -3 & -26 & -23 & 0 & -15 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ -17 & 0 & -3 & 0 & -15 & -28 & -19 & -5 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ -3 & -9 & -19 & -18 & 0 & -27 & -2 & -24 \end{array} \right] \in \mathbb{K}^{D \times D}$$

▶ $\det(P) = \text{charpoly}(M)$

▶ $\text{Smith}(P) \simeq \text{Frob}(M)$

▶ [Storjohann 2000]

[Pernet-Storjohann 2007]

▶ column degrees (4, 2, 2)

basis of $\mathbb{K}[x_3]$ -module $\mathcal{J} \cap (\mathbb{K}[x_3] + x_2\mathbb{K}[x_3] + x_1\mathbb{K}[x_3])$

$$P = \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ & 3x_3^2 + 17 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \in \mathbb{K}[x_3]^{t \times t}$$

from Hermite normal form to lex basis

$$\hat{\mathcal{G}} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \end{bmatrix} \simeq \begin{array}{ccc} \mu_1 = 1 & \mu_2 = x_2 & \mu_3 = x_1 \\ \vdots & \vdots & \vdots \\ \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ 3x_3^2 + 17 & x_3^2 + 28x_3 + 15 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \end{array} \in \mathbb{K}[x_3]^{t \times t}$$

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$$\hat{G} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \end{bmatrix} \simeq \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ 3x_3^2 + 17 & x_3^2 + 28x_3 + 15 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \in \mathbb{K}[x_3]^{t \times t}$$

$$\mu_1 = 1$$

$$\vdots$$

$$\mu_2 = x_2$$

$$\vdots$$

$$\mu_3 = x_1$$

$$\vdots$$

Hermite normal form

complexity $O(\tau^{\omega-1}D)$

[Giorgi-Jeanerod-Villard 2003]

[Gupta-Storjohann 2011]

[Labahn-Neiger-Zhou 2017]

$$\begin{bmatrix} x_3^8 + 26x_3^7 + 8x_3^6 + 17x_3^5 + 19x_3^4 + x_3^3 + 28x_3^2 + 20x_3 + 18 & 0 & 0 \\ 28x_3^7 + 23x_3^6 + 17x_3^5 + 25x_3^4 + 24x_3^3 + 17x_3^2 + 14x_3 + 4 & 1 & 0 \\ 6x_3^7 + 13x_3^6 + 22x_3^5 + 12x_3^4 + 28x_3^3 + 24x_3^2 + 26x_3 + 14 & 0 & 1 \end{bmatrix}$$

from Hermite normal form to lex basis

$$\hat{G} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \end{bmatrix} \simeq \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ 3x_3^2 + 17 & x_3^2 + 28x_3 + 15 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \in \mathbb{K}[x_3]^{t \times t}$$

Hermite normal form

complexity $\tilde{O}(t^{\omega-1}D)$

[Giorgi-Jeanerod-Villard 2003]
 [Gupta-Storjohann 2011]
 [Labahn-Neiger-Zhou 2017]

$$\begin{bmatrix} x_3^8 + 26x_3^7 + 8x_3^6 + 17x_3^5 + 19x_3^4 + x_3^3 + 28x_3^2 + 20x_3 + 18 & 0 & 0 \\ 28x_3^7 + 23x_3^6 + 17x_3^5 + 25x_3^4 + 24x_3^3 + 17x_3^2 + 14x_3 + 4 & 1 & 0 \\ 6x_3^7 + 13x_3^6 + 22x_3^5 + 12x_3^4 + 28x_3^3 + 24x_3^2 + 26x_3 + 14 & 0 & 1 \end{bmatrix}$$

row $i \simeq$ polynomial $p_{i1}(x_3) + p_{i2}(x_3)x_2 + p_{i3}(x_3)x_1$

$$\begin{aligned} &x_3^8 + 26x_3^7 + 8x_3^6 + 17x_3^5 + 19x_3^4 + x_3^3 + 28x_3^2 + 20x_3 + 18, \\ &x_2 + 28x_3^7 + 23x_3^6 + 17x_3^5 + 25x_3^4 + 24x_3^3 + 17x_3^2 + 14x_3 + 4, \\ &x_1 + 6x_3^7 + 13x_3^6 + 22x_3^5 + 12x_3^4 + 28x_3^3 + 24x_3^2 + 26x_3 + 14 \end{aligned}$$

from Hermite normal form to lex basis

$$\hat{G} = \begin{bmatrix} f_1 \\ f_2 \\ f_3 \end{bmatrix} \simeq \begin{bmatrix} x_3^4 + 3x_3^3 + 3x_3^2 + 22x_3 & 23x_3 + 26 & 15x_3 \\ 3x_3^2 + 17 & x_3^2 + 28x_3 + 15 & 5x_3 + 19 \\ 18x_3^3 + 19x_3^2 + 9x_3 + 3 & 27x_3 & x_3^2 + 24x_3 + 2 \end{bmatrix} \in \mathbb{K}[x_3]^{t \times t}$$

Hermite normal form

complexity $\tilde{O}(t^{\omega-1}D)$

[Giorgi-Jeanerod-Villard 2003]
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row $i \simeq$ polynomial $p_{i1}(x_3) + p_{i2}(x_3)x_2 + p_{i3}(x_3)x_1$

$$\begin{aligned} &x_3^8 + 26x_3^7 + 8x_3^6 + 17x_3^5 + 19x_3^4 + x_3^3 + 28x_3^2 + 20x_3 + 18, \\ &x_2 + 28x_3^7 + 23x_3^6 + 17x_3^5 + 25x_3^4 + 24x_3^3 + 17x_3^2 + 14x_3 + 4, \\ &x_1 + 6x_3^7 + 13x_3^6 + 22x_3^5 + 12x_3^4 + 28x_3^3 + 24x_3^2 + 26x_3 + 14 \end{aligned}$$

= lex basis

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problem and result

- ▶ problem: change of monomial order
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previous work

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- ▶ paradigm shift: *sparse* → *structured*
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- ▶ from Hermite normal form to lex basis

conclusion | perspectives

- ▶ **improved complexity** bound and **faster software** implementation
- ▶ based on the **identification** and **exploitation** of an algebraic **structure**
↔ $\mathbb{K}[x_n]$ -modules and univariate polynomial matrix computations
- ▶ relating the **Hermite normal form** of a $\mathbb{K}[x_n]$ -submodule of \mathcal{J} and the **lexicographic Gröbner basis** of the ideal \mathcal{J}

summary

conclusion

perspectives

- ▶ software: add SIMD **vectorization** + integrate into **msolve**
<https://github.com/algebraic-solving/msolve>
<https://msolve.lip6.fr/>
- ▶ handle case with \mathcal{J} **non-radical** but $\sqrt{\mathcal{J}}$ in shape position?
- ▶ **relax assumptions** about stability and shape position?