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# polynomial matrices: approximation and interpolation, quasi-linear GCD

Algorithmes Efficaces en Calcul Formel  
Master Parisien de Recherche en Informatique  
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# outline

▶ **introduction**

▶ **shifted reduced forms**

▶ **fast algorithms**

▶ **applications**

# outline

## ▶ introduction

- ▶ rational approximation and interpolation
- ▶ the vector case
- ▶ pol. matrices: reminders and motivation

## ▶ shifted reduced forms

## ▶ fast algorithms

## ▶ applications

# introduction

⇓ earlier in the course ⇓

⇓ in this lecture ⇓

# introduction

↓ earlier in the course ↓

- ▶ addition  $f + g$ , multiplication  $f * g$
- ▶ division with remainder  $f = qg + r$
- ▶ truncated inverse  $f^{-1} \bmod X^d$
- ▶ extended GCD  $uf + vg = \gcd(f, g)$
- ▶ multipoint eval.  $f \mapsto f(\alpha_1), \dots, f(\alpha_d)$
- ▶ interpolation  $f(\alpha_1), \dots, f(\alpha_d) \mapsto f$
- ▶ Padé approximation  $f = \frac{p}{q} \bmod X^d$
- ▶ minpoly of linearly recurrent sequence

↓ in this lecture ↓

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↓ earlier in the course ↓

$O(M(d))$

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⇓ in this lecture ⇓

**Padé approximation, sequence minpoly, extended GCD**

$O(M(d) \log(d))$  operations in  $\mathbb{K}$

**matrix versions of these problems**

$O(m^\omega M(d) \log(d))$  operations in  $\mathbb{K}$

or a tiny bit more for matrix-GCD

# introduction

## rational approximation and interpolation

given power series  $p(X)$  and  $q(X)$  over  $\mathbb{K}$  at precision  $d$ ,  
with  $q(X)$  invertible,

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algo??  $O(??)$



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given  $M(X) \in \mathbb{K}[X]$  of degree  $d > 0$ ,

given **polynomials**  $p(X)$  and  $q(X)$  over  $\mathbb{K}$  of degree  $< d$ ,  
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what does that mean?  
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algo??  $O(??)$   
eval+div+interp  $O(M(d) \log(d))$

# introduction

rational approximation and interpolation

**rational fractions**  $\longleftrightarrow$  **linearly recurrent sequences**  
reminders from lecture 6

# introduction

## rational approximation and interpolation

rational fractions  $\longleftrightarrow$  linearly recurrent sequences  
reminders from lecture 6

### C-finite sequences and rational series

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**Proposition.** The sequence  $(u_n)_{n \in \mathbb{N}}$  satisfies

$$\forall n \in \mathbb{N}, \quad u_{n+s} + c_{s-1} u_{n+s-1} + \cdots + c_0 u_n = 0$$

if and only its generating series is of the form

$$\sum_{n=0}^{\infty} u_n x^n = \frac{p(x)}{1 + c_{s-1}x + \cdots + c_0x^s} = \frac{p(x)}{\text{rev}_s(x)} \quad \text{for some } p \in \mathbb{K}[x]_{<s}.$$

denominator  $\leftrightarrow$  recurrence, numerator  $\leftrightarrow$  initial values / residual

# introduction

## rational approximation and interpolation

### rational fractions $\longleftrightarrow$ linearly recurrent sequences reminders from lecture 6

From  $s$  to  $2s$  terms

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[Fiduccia 1985, Shoup 1991]

$$u_{n+s} + c_{s-1} u_{n+s-1} + \dots + c_0 u_n = 0$$

**Problem.** Given  $(u_0, \dots, u_{s-1})$ , compute  $(u_s, \dots, u_{2s-1})$ .

Using the previous proposition, write  $\sum_{n \geq 0} u_n x^n = \frac{p(x)}{q(x)}$  with  $q = \text{rev}_s(x)$  and  $\deg p < s$ .

$$\frac{p(x)}{q(x)} = \underbrace{u_0 + \dots + u_{s-1} x^{s-1}}_{U_0(x)} + O(x^s) \Rightarrow p(x) = q(x) U_0(x) \text{ rem } x^s$$

**Algorithm.** *Input:*  $u_{0:s}, c_{0:s}$     *Output:*  $u_{0:N}$

1. Compute  $p = q U_0 \text{ rem } x^s$   $O(M(s))$
2. Compute the first  $N$  terms of  $p/q$  by a power series division  $O(M(N))$



# introduction

## rational approximation and interpolation

**rational fractions**  $\longleftrightarrow$  **linearly recurrent sequences**  
reminders from lecture 6

expand  $\frac{p}{\text{rev}(\chi)} \bmod X^N$

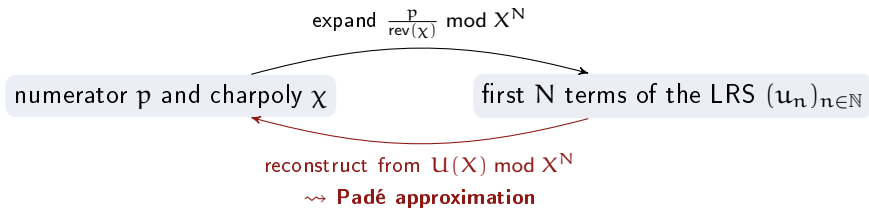
numerator  $p$  and charpoly  $\chi$

first  $N$  terms of the LRS  $(u_n)_{n \in \mathbb{N}}$

# introduction

## rational approximation and interpolation

rational fractions  $\longleftrightarrow$  linearly recurrent sequences  
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# introduction

## rational approximation and interpolation

### **Padé approximation:**

given **power series**  $f(X)$  at precision  $d$ ,

→ compute  $p(X), q(X)$  such that  $f = \frac{p}{q} \bmod X^d$

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opinions on this algorithmic problem?

# introduction

## rational approximation and interpolation

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given **power series**  $f(X)$  at precision  $d$ ,

given **degree constraints**  $d_1, d_2 > 0$ ,

→ compute **polynomials**  $(p(X), q(X))$  of **degrees**  $< (d_1, d_2)$

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### Cauchy interpolation:

given  $M(X) = (X - \alpha_1) \cdots (X - \alpha_d) \in \mathbb{K}[X]$ ,

for pairwise distinct  $\alpha_1, \dots, \alpha_d \in \mathbb{K}$ ,

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- ▶ degree constraints specified by the context
- ▶ usual choices have  $d_1 + d_2 \approx d$  and existence of a solution

# introduction

## approximation and structured linear system

$$\mathbb{K} = \mathbb{F}_7$$

$$f = 2X^7 + 2X^6 + 5X^4 + 2X^2 + 4$$

$$d = 8, d_1 = 3, d_2 = 6$$

→ look for  $(p, q)$  of degree  $< (3, 6)$  such that  $f = \frac{p}{q} \bmod X^8$

$$\begin{bmatrix} q & p \end{bmatrix} \begin{bmatrix} f \\ -1 \end{bmatrix} = 0 \bmod X^8$$



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$$[ \quad q \quad p ] \begin{bmatrix} f \\ -1 \end{bmatrix} = 0 \pmod{X^8}$$

$$[q_0 \ q_1 \ q_2 \ q_3 \ q_4 \ q_5 \mid p_0 \ p_1 \ p_2] \begin{bmatrix} 4 & 0 & 2 & 0 & 5 & 0 & 2 & 2 \\ & 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ & & 4 & 0 & 2 & 0 & 5 & 0 \\ & & & 4 & 0 & 2 & 0 & 5 \\ & & & & 4 & 0 & 2 & 0 \\ & & & & & 4 & 0 & 2 \\ \hline 6 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ & 6 & 0 & 0 & 0 & 0 & 0 & 0 \\ & & 6 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} = 0$$

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$$\begin{bmatrix} q_0 & q_1 & q_2 & q_3 & q_4 & q_5 & | & p_0 & p_1 & p_2 \end{bmatrix} \begin{bmatrix} 4 & 0 & 2 & 0 & 5 & 0 & 2 & 2 \\ 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ 4 & 0 & 2 & 0 & 5 & 0 & 2 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 \\ 6 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} = 0$$

# *Sur la généralisation des fractions continues algébriques ;*

PAR M. H. PADÉ,

Docteur ès Sciences mathématiques,  
Professeur au lycée de Lille.

[1894, Journal de mathématiques pures et appliquées]

## INTRODUCTION.

M. Hermite s'est, dans un travail récemment paru (1), occupé de la généralisation des fractions continues algébriques. La question est de déterminer les polynomes  $X_1, X_2, \dots, X_n$ , de degrés  $\mu_1, \mu_2, \dots, \mu_n$ , qui satisfont à l'équation

$$S_1 X_1 + S_2 X_2 + \dots + S_n X_n = S x^{\mu_1 + \mu_2 + \dots + \mu_n + n - 1},$$

$S_1, S_2, \dots, S_n$  étant des séries entières données, et  $S$  une série également entière. Ou plutôt, il s'agit d'obtenir un algorithme qui permette le calcul de proche en proche de ces systèmes de  $n$  polynomes, et qui soit analogue à l'algorithme par lequel le numérateur et le dénominateur d'une réduite d'une fraction continue se déduisent des numérateurs et dénominateurs des réduites précédentes. D'élégantes considé-

### Hermite-Padé approximation

[Hermite 1893, Padé 1894]

input:

- ▶ polynomials  $f_1, \dots, f_m \in \mathbb{K}[X]$
- ▶ precision  $d \in \mathbb{Z}_{>0}$
- ▶ degree bounds  $d_1, \dots, d_m \in \mathbb{Z}_{>0}$

output:

polynomials  $p_1, \dots, p_m \in \mathbb{K}[X]$  such that

- ▶  $p_1 f_1 + \dots + p_m f_m = 0 \pmod{X^d}$
- ▶  $\text{cdeg}([p_1 \cdots p_m]) < (d_1, \dots, d_m)$

(Padé approximation: particular case  $m = 2$  and  $f_2 = -1$ )

### M-Padé approximation / vector rational interpolation

[Cauchy 1821, Mahler 1968]

input:

- ▶ polynomials  $f_1, \dots, f_m \in \mathbb{K}[X]$
- ▶ pairwise distinct points  $\alpha_1, \dots, \alpha_d \in \mathbb{K}$
- ▶ degree bounds  $d_1, \dots, d_m \in \mathbb{Z}_{>0}$

output:

polynomials  $p_1, \dots, p_m \in \mathbb{K}[X]$  such that

- ▶  $p_1(\alpha_i)f_1(\alpha_i) + \dots + p_m(\alpha_i)f_m(\alpha_i) = 0$  for all  $1 \leq i \leq d$
- ▶  $\text{cdeg}([p_1 \cdots p_m]) < (d_1, \dots, d_m)$

(rational interpolation: particular case  $m = 2$  and  $f_2 = -1$ )

# introduction

## approximation and interpolation: the vector case

### in this lecture: modular equation and fast algebraic algorithms

[van Barel-Bultheel 1992; Beckermann-Labahn 1994, 1997, 2000; Giorgi-Jeannerod-Villard 2003; Storjohann 2006; Zhou-Labahn 2012; Jeannerod-Neiger-Schost-Villard 2017, 2020]

#### input:

- ▶ polynomials  $f_1, \dots, f_m \in \mathbb{K}[X]$
- ▶ field elements  $\alpha_1, \dots, \alpha_d \in \mathbb{K}$   $\rightsquigarrow$  not necessarily distinct
- ▶ degree bounds  $d_1, \dots, d_m \in \mathbb{Z}_{>0}$   $\rightsquigarrow$  general “shift”  $\mathbf{s} \in \mathbb{Z}^m$

#### output:

polynomials  $p_1, \dots, p_m \in \mathbb{K}[X]$  such that

- ▶  $p_1 f_1 + \dots + p_m f_m = 0 \pmod{\prod_{1 \leq i \leq d} (X - \alpha_i)}$
- ▶  $\text{cdeg}([p_1 \cdots p_m]) < (d_1, \dots, d_m)$   $\rightsquigarrow$  minimal  $\mathbf{s}$ -row degree

(Hermite-Padé:  $\alpha_1 = \dots = \alpha_d = 0$ ; interpolation: pairwise distinct points)

# introduction

## interpolation and structured linear system

### application of vector rational interpolation:

given pairwise distinct points  $\{(\alpha_i, \beta_i), 1 \leq i \leq 8\}$   
 $= \{(24, 80), (31, 73), (15, 73), (32, 35), (83, 66), (27, 46), (20, 91), (59, 64)\}$ ,  
compute a **bivariate** polynomial  $p(X, Y) \in \mathbb{K}[X, Y]$   
such that  $p(\alpha_i, \beta_i) = 0$  for  $1 \leq i \leq 8$

$$\left. \begin{array}{l} M(X) = (X - 24) \cdots (X - 59) \\ L(X) = \text{Lagrange interpolant} \end{array} \right\} \rightarrow \text{solutions} = \text{ideal } \langle M(X), Y - L(X) \rangle$$

solutions of smaller X-degree:  $p(X, Y) = p_0(X) + p_1(X)Y + p_2(X)Y^2$

$$p(X, L(X)) = [p_0 \quad p_1 \quad p_2] \begin{bmatrix} 1 \\ L \\ L^2 \end{bmatrix} = 0 \pmod{M(X)}$$

- ▶ instance of **univariate** rational vector interpolation
- ▶ with a **structured** input equation (powers of  $L \pmod{M}$ )

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$= \{(24, 80), (31, 73), (15, 73), (32, 35), (83, 66), (27, 46), (20, 91), (59, 64)\}$ ,

compute a **bivariate** polynomial  $p(X, Y) \in \mathbb{K}[X, Y]$

such that  $p(\alpha_i, \beta_i) = 0$  for  $1 \leq i \leq 8$

add **degree constraints**: seek  $p(X, Y)$  of the form

$p_{00} + p_{01}X + p_{02}X^2 + p_{03}X^3 + p_{04}X^4 + (p_{10} + p_{11}X + p_{12}X^2)Y + p_{20}Y^2$ :

$$\begin{bmatrix}
 p_{00} & p_{01} & p_{02} & p_{03} & p_{04} & \vdots & p_{10} & p_{11} & p_{12} & \vdots & p_{20}
 \end{bmatrix}
 \begin{bmatrix}
 1 & 1 & \cdots & 1 \\
 \alpha_1 & \alpha_2 & \cdots & \alpha_8 \\
 \alpha_1^2 & \alpha_2^2 & \cdots & \alpha_8^2 \\
 \alpha_1^3 & \alpha_2^3 & \cdots & \alpha_8^3 \\
 \alpha_1^4 & \alpha_2^4 & \cdots & \alpha_8^4 \\
 \hline
 \beta_1 & \beta_2 & \cdots & \beta_8 \\
 \alpha_1\beta_1 & \alpha_2\beta_2 & \cdots & \alpha_8\beta_8 \\
 \alpha_1^2\beta_1 & \alpha_2^2\beta_2 & \cdots & \alpha_8^2\beta_8 \\
 \hline
 \beta_1^2 & \beta_2^2 & \cdots & \beta_8^2
 \end{bmatrix} = 0$$

►  **$\mathbb{K}$ -linear** system

► **two levels** of structure

$$p(X, Y) = (2X^4 + 56X^3 + 42X^2 + 48X + 15) + (72X^2 + 12X + 30)Y + Y^2$$



# introduction

polynomial matrices: reminder and motivation

why polynomial matrices here?

# introduction

## polynomial matrices: reminder and motivation

why polynomial matrices here?

omitting degree constraints, the set of solutions is

$$\mathcal{S} = \{(p_1, \dots, p_m) \in \mathbb{K}[X]^m \mid p_1 f_1 + \dots + p_m f_m = 0 \bmod M\}$$

recall  $M(X) = \prod_{1 \leq i \leq d} (X - \alpha_i)$

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$$\mathcal{S} = \{(p_1, \dots, p_m) \in \mathbb{K}[X]^m \mid p_1 f_1 + \dots + p_m f_m = 0 \text{ mod } M\}$$

recall  $M(X) = \prod_{1 \leq i \leq d} (X - \alpha_i)$

$\mathcal{S}$  is a “free  $\mathbb{K}[X]$ -module of rank  $m$ ”, meaning:

- ▶ stable under  $\mathbb{K}[X]$ -linear combinations
- ▶ admits a basis consisting of  $m$  elements
- ▶ basis =  $\mathbb{K}[X]$ -linear independence + generates all solutions

# introduction

## polynomial matrices: reminder and motivation

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recall  $M(X) = \prod_{1 \leq i \leq d} (X - \alpha_i)$

$\mathcal{S}$  is a “free  $\mathbb{K}[X]$ -module of rank  $m$ ”, meaning:

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- ▶ admits a basis consisting of  $m$  elements
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$$\triangleright \mathcal{S} \subset \mathbb{K}[X]^m \Rightarrow \mathcal{S} \text{ has rank } \leq m$$

$$\triangleright M(X)\mathbb{K}[X]^m \subset \mathcal{S} \Rightarrow \mathcal{S} \text{ has rank } \geq m$$

remark: solutions are not considered modulo  $M$

e.g.  $(M, 0, \dots, 0)$  is in  $\mathcal{S}$  and may appear in a basis

# introduction

## polynomial matrices: reminder and motivation

why polynomial matrices here?

omitting degree constraints, the set of solutions is

$$\mathcal{S} = \{(\mathbf{p}_1, \dots, \mathbf{p}_m) \in \mathbb{K}[\mathbf{X}]^m \mid \mathbf{p}_1 f_1 + \dots + \mathbf{p}_m f_m = 0 \bmod \mathcal{M}\}$$

recall  $\mathcal{M}(\mathbf{X}) = \prod_{1 \leq i \leq d} (\mathbf{X} - \alpha_i)$

### basis of solutions:

- ▶ square nonsingular matrix  $\mathbf{P}$  in  $\mathbb{K}[\mathbf{X}]^{m \times m}$
- ▶ each row of  $\mathbf{P}$  is a solution
- ▶ any solution is a  $\mathbb{K}[\mathbf{X}]$ -combination  $\mathbf{uP}$ ,  $\mathbf{u} \in \mathbb{K}[\mathbf{X}]^{1 \times m}$

i.e.  $\mathcal{S}$  is the  $\mathbb{K}[\mathbf{X}]$ -row space of  $\mathbf{P}$

# introduction

## polynomial matrices: reminder and motivation

why polynomial matrices here?

omitting degree constraints, the set of solutions is

$$\mathcal{S} = \{(\mathbf{p}_1, \dots, \mathbf{p}_m) \in \mathbb{K}[\mathbf{X}]^m \mid \mathbf{p}_1 f_1 + \dots + \mathbf{p}_m f_m = 0 \bmod M\}$$

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**prove:  $\det(\mathbf{P})$  is a divisor of  $M(\mathbf{X})^m$**

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**prove:  $\det(\mathbf{P})$  is a divisor of  $\mathbf{M}(\mathbf{X})^m$**

**prove: any other basis is  $\mathbf{UP}$  for  $\mathbf{U} \in \mathbb{K}[\mathbf{X}]^{m \times m}$  with  $\det(\mathbf{U}) \in \mathbb{K} \setminus \{0\}$**

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computing a **basis** of  $\mathcal{S}$  with “**minimal degrees**”

- ▶ has many more applications than a single small-degree solution
  - ▶ is in most cases the fastest known strategy anyway(!)
- ↪ degree minimality ensured via **shifted reduced forms**



# introduction

## polynomial matrices: reminder and motivation

$$\mathbf{A} = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \\ 3X^3 + X^2 + 5X + 3 & 6X + 5 & 2X + 1 \end{bmatrix} \in \mathbb{K}[X]^{3 \times 3}$$

$3 \times 3$  matrix of degree 3  
with entries in  $\mathbb{K}[X] = \mathbb{F}_7[X]$

operations in  $\mathbb{K}[X]_{<d}^{m \times m}$ :

- ▶ combination of matrix and polynomial computations
- ▶ addition in  $O(m^2 d)$ , naive multiplication in  $O(m^3 d^2)$
- ▶ some tools shared with  $\mathbb{K}$ -matrices, others specific to  $\mathbb{K}[X]$ -matrices

[Cantor-Kaltofen'91]

multiplication in  $O(m^\omega d \log(d) + m^2 d \log(d) \log \log(d))$

$\in O(m^\omega M(d)) \subset \tilde{O}(m^\omega d)$

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$\in O(m^\omega M(d)) \subset \tilde{O}(m^\omega d)$

- ▶ Newton truncated inversion, matrix-QuoRem  $\rightarrow$  fast  $\tilde{O}(m^\omega d)$
- ▶ inversion and determinant via evaluation-interpolation  $\rightarrow$  medium  $\tilde{O}(m^{\omega+1} d)$
- ▶ vector rational approximation & interpolation  $\rightarrow$  ???

# introduction

## polynomial matrices: reminder and motivation

reductions of most problems to polynomial matrix multiplication

matrix  $m \times m$  of degree  $d$   $\rightarrow O^{\sim}(m^{\omega} d)$   
of “average” degree  $\frac{D}{m}$   $\rightarrow O^{\sim}(m^{\omega} \frac{D}{m})$

### classical matrix operations

- ▶ multiplication
- ▶ kernel, system solving
- ▶ rank, determinant
- ▶ inversion  $O^{\sim}(m^3 d)$

### univariate specific operations

- ▶ truncated inverse, QuoRem
- ▶ Hermite-Padé approximation
- ▶ vector rational interpolation
- ▶ syzygies / modular equations

### transformation to normal forms

- ▶ triangularization: Hermite form
- ▶ row reduction: Popov form
- ▶ diagonalization: Smith form

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# outline

## ▶ introduction

- ▶ rational approximation and interpolation
- ▶ the vector case
- ▶ pol. matrices: reminders and motivation

## ▶ shifted reduced forms

## ▶ fast algorithms

## ▶ applications

# outline

## introduction

- ▶ rational approximation and interpolation
- ▶ the vector case
- ▶ pol. matrices: reminders and motivation

## shifted reduced forms

- ▶ reducedness: examples and properties
- ▶ shifted forms and degree constraints
- ▶ stability under multiplication

## fast algorithms

## applications



# shifted reduced forms

## reducedness: examples and properties

### notation:

let  $\mathbf{A} \in \mathbb{K}[X]^{m \times n}$  with no zero row,  
define  $\mathbf{d} = (d_1, \dots, d_m) = \text{rdeg}(\mathbf{A})$

$$\text{and } \mathbf{X}^{\mathbf{d}} = \begin{bmatrix} X^{d_1} & & \\ & \ddots & \\ & & X^{d_m} \end{bmatrix} \in \mathbb{K}[X]^{m \times m}$$

### definition: (row-wise) leading matrix

the **leading matrix** of  $\mathbf{A}$  is the unique matrix  $\text{lm}(\mathbf{A}) \in \mathbb{K}^{m \times n}$   
such that  $\mathbf{A} = \mathbf{X}^{\mathbf{d}} \text{lm}(\mathbf{A}) + \mathbf{R}$  with  $\text{rdeg}(\mathbf{R}) < \mathbf{d}$  entry-wise

equivalently,  $\mathbf{X}^{-\mathbf{d}} \mathbf{A} = \text{lm}(\mathbf{A}) + \text{terms of strictly negative degree}$

# shifted reduced forms

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### definition: (row-wise) reduced matrix

$\mathbf{A} \in \mathbb{K}[X]^{m \times n}$  is said to be **reduced**  
if  $\text{lm}(\mathbf{A})$  has full row rank

# shifted reduced forms

## reducedness: examples and properties

consider the following matrices, with  $\mathbb{K} = \mathbb{F}_7$ :

$$\mathbf{A}_1 = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \end{bmatrix}$$

$$\mathbf{A}_2 = \begin{bmatrix} 3X + 1 & 4X + 3 & 5X + 5 \\ 0 & 4X^2 + 6X & 5 \\ 4X^2 + 5X + 2 & 5 & 6X^2 + 1 \end{bmatrix}$$

$\mathbf{A}_3 = \text{transpose of } \mathbf{A}_1$

$\mathbf{A}_4 = \text{transpose of } \mathbf{A}_2$

answer the following, for  $i \in \{1, 2, 3, 4\}$ :

1. what is  $\text{rdeg}(\mathbf{A}_i)$ ?
2. what is  $\text{Im}(\mathbf{A}_i)$ ?
3. is  $\mathbf{A}_i$  reduced?

# polynomial matrices in reduced form

## reducedness: examples and properties

let  $\mathbf{A} \in \mathbb{K}[X]^{m \times n}$  with  $m \leq n$ ,  
the following are equivalent:

(i)  $\mathbf{A}$  is reduced (i.e.  $\text{Im}(\mathbf{A})$  has full rank)

# polynomial matrices in reduced form

## reducedness: examples and properties

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(i)  $\mathbf{A}$  is reduced (i.e.  $\text{Im}(\mathbf{A})$  has full rank)

(ii) for any vector  $\mathbf{u} = [\mathbf{u}_1 \ \mathbf{1} \ \mathbf{u}_2] \in \mathbb{K}[X]^{1 \times m}$  with  $\mathbf{1}$  at index  $i$ ,  
 $\text{rdeg}(\mathbf{uA}) \geq \text{rdeg}(\mathbf{A}_{i,*})$

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(iii) **predictable degree**: for any vector  $\mathbf{u} = [\mathbf{u}_1 \cdots \mathbf{u}_m] \in \mathbb{K}[X]^{1 \times m}$ ,  
 $\text{rdeg}(\mathbf{uA}) = \max_{1 \leq i \leq m} (\text{deg}(\mathbf{u}_i) + \text{rdeg}(\mathbf{A}_{i,*}))$

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(v) **predictable determinantal degree**:  $\text{deg det}(\mathbf{A}) = |\text{rdeg}(\mathbf{A})|$   
(only when  $m = n$ )



# shifted reduced forms

## reducedness: examples and properties

recall the matrix, with  $\mathbb{K} = \mathbb{F}_7$ ,

$$\mathbf{A} = \begin{bmatrix} 3X + 1 & 4X + 3 & 5X + 5 \\ 0 & 4X^2 + 6X & 5 \\ 4X^2 + 5X + 2 & 5 & 6X^2 + 1 \end{bmatrix}$$

1. what is  $\deg \det(\mathbf{A})$ ?
2. what is  $\text{rdeg}([4X^2 + 1 \quad 2X \quad 4X + 5] \mathbf{A})$ ?
3. is it possible to find a matrix

$$\mathbf{P} = \begin{bmatrix} p_{00} & p_{01} & p_{02} \\ p_{10} & p_{11} & p_{12} \end{bmatrix}$$

whose rank is 2, whose degree is 1, and which is a left-multiple of  $\mathbf{A}$ ?

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find a row vector  $\mathbf{u}$  of degree 1 such that  $\mathbf{uA}$  has degree 2, where

$$\mathbf{A} = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \end{bmatrix}$$

# shifted reduced forms

## shifted forms and degree constraints

keeping our problem in mind:

- ▶ input:  $f_i$ 's and  $\alpha_i$ 's and degree constraints  $d_1, \dots, d_m \in \mathbb{Z}_{>0}$
- ▶ output: a solution  $\mathbf{p}$  satisfying the constraints  $\text{cdeg}(\mathbf{p}) < (d_1, \dots, d_m)$

### obstacle:

computing a reduced basis of solutions ignores the constraints

**exercise:** suppose we have a reduced basis  $\mathbf{P} \in \mathbb{K}[X]^{m \times m}$  of solutions

- ▶ think of particular constraints  $(d_1, \dots, d_m)$  that can be handled via  $\mathbf{P}$
- ▶ give constraints  $(d_1, \dots, d_m)$  for which  $\mathbf{P}$  is “typically” not satisfactory

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**solution:** compute  $\mathbf{P}$  in **shifted** reduced form

# shifted reduced forms

## shifted forms and degree constraints

$$\mathbf{A} = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \\ 3X^3 + X^2 + 5X + 3 & 6X + 5 & 2X + 1 \end{bmatrix}$$

using elementary row operations, transform  $\mathbf{A}$  into...

$$\text{Hermite form } \mathbf{H} = \begin{bmatrix} X^6 + 6X^4 + X^3 + X + 4 & 0 & 0 \\ 5X^5 + 5X^4 + 6X^3 + 2X^2 + 6X + 3 & X & 0 \\ 3X^4 + 5X^3 + 4X^2 + 6X + 1 & 5 & 1 \end{bmatrix}$$

$$\text{Popov form } \mathbf{P} = \begin{bmatrix} X^3 + 5X^2 + 4X + 1 & 2X + 4 & 3X + 5 \\ 1 & X^2 + 2X + 3 & X + 2 \\ 3X + 2 & 4X & X^2 \end{bmatrix}$$

# shifted reduced forms

## shifted forms and degree constraints

nonsingular  $\mathbf{A} \in \mathbb{K}[X]^{m \times m}$

elementary row transformations

Hermite form [Hermite, 1851]

- ▶ triangular
- ▶ column normalized

$$\begin{bmatrix} 16 & & & \\ 15 & 0 & & \\ 15 & & 0 & \\ 15 & & & 0 \end{bmatrix} \quad \begin{bmatrix} 4 & & & \\ 3 & 7 & & \\ 1 & 5 & 3 & \\ 3 & 6 & 1 & 2 \end{bmatrix}$$

# shifted reduced forms

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$$\begin{bmatrix} 4 & 3 & 3 & 3 \\ 3 & 4 & 3 & 3 \\ 3 & 3 & 4 & 3 \\ 3 & 3 & 3 & 4 \end{bmatrix} \quad \begin{bmatrix} 7 & 0 & 1 & 5 \\ 0 & 1 & & 0 \\ & & 2 & \\ 6 & 0 & 1 & 6 \end{bmatrix}$$

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$\preceq_{\text{pot}}$

reduced Gröbner basis

$\preceq_{\text{top}}$

$\mathbb{K}[X]$ -module  $\mathcal{S} \subset \mathbb{K}[X]^{1 \times m}$  of rank  $m$



# shifted reduced forms

## shifted forms and degree constraints

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invariant:  $D = \deg(\det(\mathbf{A})) = 4 + 7 + 3 + 2 = 7 + 1 + 2 + 6$

- ▶ average column degree is  $\frac{D}{m}$
- ▶ size of object is  $mD + m^2 = m^2(\frac{D}{m} + 1)$

# shifted reduced forms

## shifted forms and degree constraints

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[Beckermann-Labahn-Villard, 1999; Mulders-Storjohann, 2003]

**shifted reduced form:**  
arbitrary degree constraints + no column normalization

$\approx$  minimal, non-reduced,  $\prec$ -Gröbner basis

# shifted reduced forms

shift: integer tuple  $\mathbf{s} = (s_1, \dots, s_m)$  acting as column weights

→ connects Popov and Hermite forms

$$\begin{array}{l} \mathbf{s} = (0, 0, 0, 0) \\ \text{Popov} \end{array} \quad \begin{bmatrix} 4 & 3 & 3 & 3 \\ 3 & 4 & 3 & 3 \\ 3 & 3 & 4 & 3 \\ 3 & 3 & 3 & 4 \end{bmatrix} \quad \begin{bmatrix} 7 & 0 & 1 & 5 \\ 0 & 1 & & 0 \\ & & 2 & \\ 6 & 0 & 1 & 6 \end{bmatrix}$$

$$\begin{array}{l} \mathbf{s} = (0, 2, 4, 6) \\ \mathbf{s}\text{-Popov} \end{array} \quad \begin{bmatrix} 7 & 4 & 2 & 0 \\ 6 & 5 & 2 & 0 \\ 6 & 4 & 3 & 0 \\ 6 & 4 & 2 & 1 \end{bmatrix} \quad \begin{bmatrix} 8 & 5 & 1 \\ 7 & 6 & 1 \\ & & 2 \\ 0 & 1 & & 0 \end{bmatrix}$$

$$\begin{array}{l} \mathbf{s} = (0, D, 2D, 3D) \\ \text{Hermite} \end{array} \quad \begin{bmatrix} 16 & & & \\ 15 & 0 & & \\ 15 & & 0 & \\ 15 & & & 0 \end{bmatrix} \quad \begin{bmatrix} 4 & & & \\ 3 & 7 & & \\ 1 & 5 & 3 & \\ 3 & 6 & 1 & 2 \end{bmatrix}$$

- ▶ normal form, average column degree  $D/m$
- ▶ shifted reduced form: same without normalization
- ▶ shifts arise naturally in algorithms (approximants, kernel, ...)

# shifted reduced forms

## shifted forms and degree constraints

**shifted** row degree of a polynomial matrix  
= the list of the maximum **shifted** degree in each of its rows

for  $\mathbf{A} = (a_{i,j}) \in \mathbb{K}[X]^{m \times n}$ , and  $\mathbf{s} = (s_1, \dots, s_n) \in \mathbb{Z}^n$ ,

$$\begin{aligned} \text{rdeg}_{\mathbf{s}}(\mathbf{A}) &= (\text{rdeg}_{\mathbf{s}}(\mathbf{A}_{1,*}), \dots, \text{rdeg}_{\mathbf{s}}(\mathbf{A}_{m,*})) \\ &= \left( \max_{1 \leq j \leq n} (\deg(\mathbf{A}_{1,j}) + s_j), \dots, \max_{1 \leq j \leq n} (\deg(\mathbf{A}_{m,j}) + s_j) \right) \in \mathbb{Z}^m \end{aligned}$$

example: for the matrix  $\mathbf{A} = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \end{bmatrix}$ ,  
describe  $\text{rdeg}_{(0,0,0)}(\mathbf{A})$ ,  $\text{rdeg}_{(0,1,2)}(\mathbf{A})$ , and  $\text{rdeg}_{(-1,-3,-2)}(\mathbf{A})$

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- ▶  $\text{rdeg}_{\mathbf{s}}(\mathbf{A}) = \text{rdeg}(\mathbf{A}\mathbf{X}^{\mathbf{s}})$
- ▶  $\text{rdeg}_{\mathbf{s}}(\mathbf{A})$  only depends on  $\mathbf{s}$  and the degrees in  $\mathbf{A}$
- ▶  $\text{rdeg}_{\mathbf{s}+(c,\dots,c)}(\mathbf{A}) = \text{rdeg}_{\mathbf{s}}(\mathbf{A}) + c$

# shifted reduced forms

## shifted forms and degree constraints

### notation:

let  $\mathbf{A} \in \mathbb{K}[X]^{m \times n}$  with no zero row, and  $\mathbf{s} \in \mathbb{Z}^n$ ,  
define  $\mathbf{d} = (d_1, \dots, d_m) = \text{rdeg}_{\mathbf{s}}(\mathbf{A})$

$$\text{and } \mathbf{X}^{\mathbf{d}} = \begin{bmatrix} X^{d_1} & & \\ & \ddots & \\ & & X^{d_m} \end{bmatrix} \in \mathbb{K}[X, X^{-1}]^{m \times m}$$

### definition: $\mathbf{s}$ -leading matrix / $\mathbf{s}$ -reduced matrix

assuming  $\mathbf{s} \geq 0$ ,

- ▶ the  $\mathbf{s}$ -leading matrix of  $\mathbf{A}$  is  $\text{lm}_{\mathbf{s}}(\mathbf{A}) = \text{lm}(\mathbf{A}\mathbf{X}^{\mathbf{s}}) \in \mathbb{K}^{m \times n}$
- ▶  $\mathbf{A} \in \mathbb{K}[X]^{m \times n}$  is  $\mathbf{s}$ -reduced if  $\text{lm}_{\mathbf{s}}(\mathbf{A})$  has full row rank

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## shifted forms and degree constraints

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- ▶ these notions are invariant under  $\mathbf{s} \rightarrow \mathbf{s} + (c, \dots, c)$
- ▶ they coincide with the non-shifted case when  $\mathbf{s} = (0, \dots, 0)$
- ▶  $\mathbf{X}^{-\mathbf{d}}\mathbf{A}\mathbf{X}^{\mathbf{s}} = \text{lm}_s(\mathbf{A}) + \text{terms of strictly negative degree}$

# shifted reduced forms

## shifted forms and degree constraints

exercise: for each of the matrices below, and each shift  $\mathbf{s}$ ,

1. give the  $\mathbf{s}$ -leading matrix
2. deduce whether the matrix is  $\mathbf{s}$ -reduced

$$\mathbf{A} = \begin{bmatrix} 3X + 4 & X^3 + 4X + 1 & 4X^2 + 3 \\ 5 & 5X^2 + 3X + 1 & 5X + 3 \\ 3X^3 + X^2 + 5X + 3 & 6X + 5 & 2X + 1 \end{bmatrix}$$

$$\mathbf{H} = \begin{bmatrix} X^6 + 6X^4 + X^3 + X + 4 & 0 & 0 \\ 5X^5 + 5X^4 + 6X^3 + 2X^2 + 6X + 3 & X & 0 \\ 3X^4 + 5X^3 + 4X^2 + 6X + 1 & 5 & 1 \end{bmatrix}$$

$$\mathbf{P} = \begin{bmatrix} X^3 + 5X^2 + 4X + 1 & 2X + 4 & 3X + 5 \\ 1 & X^2 + 2X + 3 & X + 2 \\ 3X + 2 & 4X & X^2 \end{bmatrix}$$

$$\mathbf{s} = (0, 0, 0), \mathbf{s} = (0, 5, 6), \mathbf{s} = (-3, -2, -2)$$



# shifted reduced forms

## shifted forms and degree constraints

the characterizations generalize to the  $\mathbf{s}$ -shifted case,  
using  $\mathbf{s}$ -row degrees and  $\mathbf{s}$ -leading matrices where appropriate

(proofs: direct, with:  $\mathbf{A}$  is  $\mathbf{s}$ -reduced  $\Leftrightarrow \mathbf{A}\mathbf{X}^{\mathbf{s}}$  is reduced)

for example recall the [predictable degree property](#):

$\mathbf{A}$  is reduced if and only if for any  $\mathbf{u} = [\mathbf{u}_1 \cdots \mathbf{u}_m] \in \mathbb{K}[\mathbf{X}]^{1 \times m}$ ,  
$$\text{rdeg}(\mathbf{u}\mathbf{A}) = \max_{1 \leq i \leq m} (\text{deg}(\mathbf{u}_i) + \text{rdeg}(\mathbf{A}_{i,*}))$$

# shifted reduced forms

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- ▶ this means  $\text{rdeg}(\mathbf{u}\mathbf{A}) = \text{rdeg}_{\mathbf{t}}(\mathbf{u})$  where  $\mathbf{t} = \text{rdeg}(\mathbf{A})$
- ▶ i.e.  $\text{rdeg}(\mathbf{u}\mathbf{A}) = \text{rdeg}(\mathbf{u}\mathbf{X}^{\text{rdeg}(\mathbf{A})})$ , “no surprising cancellation”
- ▶ proof: let  $\delta = \text{rdeg}_{\mathbf{t}}(\mathbf{u})$ , our goal is to show  $\text{rdeg}(\mathbf{u}\mathbf{A}) = \delta$   
terms of  $\mathbf{X}^{-\delta}\mathbf{u}\mathbf{A}$  have degree  $\leq 0$ ,  
and  $\mathbf{X}^{-\delta}\mathbf{u}\mathbf{A} = (\mathbf{X}^{-\delta}\mathbf{u}\mathbf{X}^{\mathbf{t}})(\mathbf{X}^{-\mathbf{t}}\mathbf{A})$ ;  
the term of degree 0 is  $\text{lm}_{\mathbf{t}}(\mathbf{u})\text{lm}(\mathbf{A})$ ,  
it is nonzero since  $\text{lm}(\mathbf{A})$  has full rank and  $\text{lm}_{\mathbf{t}}(\mathbf{u}) \neq 0$   
(the case  $\mathbf{u} = \mathbf{0}$  is trivial)

# shifted reduced forms

## shifted forms and degree constraints

the characterizations generalize to the  $\mathbf{s}$ -shifted case,  
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this means  $\text{rdeg}_{\mathbf{s}}(\mathbf{u}\mathbf{A}) = \text{rdeg}_{\mathbf{t}}(\mathbf{u})$ , where  $\mathbf{t} = \text{rdeg}_{\mathbf{s}}(\mathbf{A})$

# shifted reduced forms

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the characterizations generalize to the  $\mathbf{s}$ -shifted case,  
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 $\text{rdeg}_{\mathbf{s}}(\mathbf{u}\mathbf{A}) = \max_{1 \leq i \leq m} (\text{deg}(\mathbf{u}_i) + \text{rdeg}_{\mathbf{s}}(\mathbf{A}_{i,*}))$

this means  $\text{rdeg}_{\mathbf{s}}(\mathbf{u}\mathbf{A}) = \text{rdeg}_{\mathbf{t}}(\mathbf{u})$ , where  $\mathbf{t} = \text{rdeg}_{\mathbf{s}}(\mathbf{A})$

- ▶  $\mathbf{s}$ -reduced forms provide vectors of **minimal  $\mathbf{s}$ -degree** in the module
- ▶ satisfying **degree constraints**  $(d_1, \dots, d_m) \Rightarrow$  taking  $\mathbf{s} = (-d_1, \dots, -d_m)$
- ▶ indeed  $\text{cdeg}([p_1 \cdots p_m]) < (d_1, \dots, d_m)$   
if and only if  $\text{rdeg}_{(-d_1, \dots, -d_m)}([p_1 \cdots p_m]) < 0$

# shifted reduced forms

## stability under multiplication

algorithms based on polynomial matrix multiplication

[iterative: van Barel-Bultheel 1991, Beckermann-Labahn 2000]

[divide and conquer: Beckermann-Labahn 1994, Giorgi-Jeanerod-Villard 2003]

- ▶ compute a first basis  $\mathbf{P}_1$  for a subproblem
- ▶ update the input instance to get the second subproblem
- ▶ compute a second basis  $\mathbf{P}_2$  for this second subproblem
- ▶ the output basis of solutions is  $\mathbf{P}_2\mathbf{P}_1$

we want  $\mathbf{P}_2\mathbf{P}_1$  to be reduced:

1. is it implied by “ $\mathbf{P}_1$  reduced and  $\mathbf{P}_2$  reduced”?
2. any idea of how to fix this?

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we want  $\mathbf{P}_2\mathbf{P}_1$  to be reduced

**theorem:** implied by “ $\mathbf{P}_1$  is reduced and  $\mathbf{P}_2$  is  $\mathbf{t}$ -reduced”

where  $\mathbf{t} = \text{rdeg}(\mathbf{P}_1)$

# shifted reduced forms

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2. any idea of how to fix this?

we want  $\mathbf{P}_2\mathbf{P}_1$  to be **s**-reduced

**theorem:** implied by “ $\mathbf{P}_1$  is **s**-reduced and  $\mathbf{P}_2$  is **t**-reduced”

where  $\mathbf{t} = \text{rdeg}_s(\mathbf{P}_1)$

## shifted reduced forms

### stability under multiplication

let  $\mathcal{M} \subseteq \mathcal{M}_1$  be two  $\mathbb{K}[X]$ -submodules of  $\mathbb{K}[X]^m$  of rank  $m$ ,

let  $\mathbf{P}_1 \in \mathbb{K}[X]^{m \times m}$  be a basis of  $\mathcal{M}_1$ ,

let  $\mathbf{s} \in \mathbb{Z}^m$  and  $\mathbf{t} = \text{rdeg}_s(\mathbf{P}_1)$ ,

► the rank of the module  $\mathcal{M}_2 = \{\boldsymbol{\lambda} \in \mathbb{K}[X]^{1 \times m} \mid \boldsymbol{\lambda} \mathbf{P}_1 \in \mathcal{M}\}$  is  $m$   
and for any basis  $\mathbf{P}_2 \in \mathbb{K}[X]^{m \times m}$  of  $\mathcal{M}_2$ ,

the product  $\mathbf{P}_2 \mathbf{P}_1$  is a basis of  $\mathcal{M}$

► if  $\mathbf{P}_1$  is  $\mathbf{s}$ -reduced and  $\mathbf{P}_2$  is  $\mathbf{t}$ -reduced,  
then  $\mathbf{P}_2 \mathbf{P}_1$  is  $\mathbf{s}$ -reduced



# shifted reduced forms

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the product  $\mathbf{P}_2 \mathbf{P}_1$  is a basis of  $\mathcal{M}$

► if  $\mathbf{P}_1$  is  $\mathbf{s}$ -reduced and  $\mathbf{P}_2$  is  $\mathbf{t}$ -reduced,  
then  $\mathbf{P}_2 \mathbf{P}_1$  is  $\mathbf{s}$ -reduced

Let  $\mathbf{A} \in \mathbb{K}[X]^{m \times m}$  denote the adjugate of  $\mathbf{P}_1$ . Then, we have  $\mathbf{A} \mathbf{P}_1 = \det(\mathbf{P}_1) \mathbf{I}_m$ .

Thus,  $\mathbf{p} \mathbf{A} \mathbf{P}_1 = \det(\mathbf{P}_1) \mathbf{p} \in \mathcal{M}$  for all  $\mathbf{p} \in \mathcal{M}$ , and therefore  $\mathcal{M} \mathbf{A} \subseteq \mathcal{M}_2$ . Now,

the nonsingularity of  $\mathbf{A}$  ensures that  $\mathcal{M} \mathbf{A}$  has rank  $m$ ; this implies that  $\mathcal{M}_2$  has

rank  $m$  as well (see e.g. [Dummit-Foote 2004, Sec. 12.1, Thm. 4]). The matrix  $\mathbf{P}_2 \mathbf{P}_1$

is nonsingular since  $\det(\mathbf{P}_2 \mathbf{P}_1) \neq 0$ . Now let  $\mathbf{p} \in \mathcal{M}$ ; we want to prove that  $\mathbf{p}$

is a  $\mathbb{K}[X]$ -linear combination of the rows of  $\mathbf{P}_2 \mathbf{P}_1$ . First,  $\mathbf{p} \in \mathcal{M}_1$ , so there exists

$\lambda \in \mathbb{K}[X]^{1 \times m}$  such that  $\mathbf{p} = \lambda \mathbf{P}_1$ . But then  $\lambda \in \mathcal{M}_2$ , and thus there exists  $\mu \in$

$\mathbb{K}[X]^{1 \times m}$  such that  $\lambda = \mu \mathbf{P}_2$ . This yields the combination  $\mathbf{p} = \mu \mathbf{P}_2 \mathbf{P}_1$ .

# shifted reduced forms

## stability under multiplication

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let  $\mathbf{s} \in \mathbb{Z}^m$  and  $\mathbf{t} = \text{rdeg}_s(\mathbf{P}_1)$ ,

► the rank of the module  $\mathcal{M}_2 = \{\lambda \in \mathbb{K}[X]^{1 \times m} \mid \lambda \mathbf{P}_1 \in \mathcal{M}\}$  is  $m$   
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► if  $\mathbf{P}_1$  is  $\mathbf{s}$ -reduced and  $\mathbf{P}_2$  is  $\mathbf{t}$ -reduced,  
then  $\mathbf{P}_2 \mathbf{P}_1$  is  $\mathbf{s}$ -reduced

Let  $\mathbf{d} = \text{rdeg}_t(\mathbf{P}_2)$ ; we have  $\mathbf{d} = \text{rdeg}_s(\mathbf{P}_2 \mathbf{P}_1)$  by the predictable degree property. Using  $\mathbf{X}^{-\mathbf{d}} \mathbf{P}_2 \mathbf{P}_1 \mathbf{X}^{\mathbf{s}} = \mathbf{X}^{-\mathbf{d}} \mathbf{P}_2 \mathbf{X}^{\mathbf{t}} \mathbf{X}^{-\mathbf{t}} \mathbf{P}_1 \mathbf{X}^{\mathbf{s}}$ , we obtain that  $\text{Im}_s(\mathbf{P}_2 \mathbf{P}_1) = \text{Im}_t(\mathbf{P}_2) \text{Im}_s(\mathbf{P}_1)$ . By assumption,  $\text{Im}_t(\mathbf{P}_2)$  and  $\text{Im}_s(\mathbf{P}_1)$  are invertible, and therefore  $\text{Im}_s(\mathbf{P}_2 \mathbf{P}_1)$  is invertible as well; thus  $\mathbf{P}_2 \mathbf{P}_1$  is  $\mathbf{s}$ -reduced.

# outline

## introduction

- ▶ rational approximation and interpolation
- ▶ the vector case
- ▶ pol. matrices: reminders and motivation

## shifted reduced forms

- ▶ reducedness: examples and properties
- ▶ shifted forms and degree constraints
- ▶ stability under multiplication

## fast algorithms

## applications

# outline

## introduction

- ▶ rational approximation and interpolation
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## shifted reduced forms

- ▶ reducedness: examples and properties
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## fast algorithms

- ▶ iterative algorithm and output size
- ▶ base case: modulus of degree 1
- ▶ recursion: residual and basis multiplication

## applications

# fast algorithms

iterative algorithm [van Barel-Bultheel / Beckermann-Labahn]

input: vector  $\mathbf{F} = \begin{bmatrix} f_1 \\ \vdots \\ f_m \end{bmatrix}$ , points  $\alpha_1, \dots, \alpha_d \in \mathbb{K}$ , shift  $\mathbf{s} = (s_1, \dots, s_m) \in \mathbb{Z}^m$

1.  $\mathbf{P} = \begin{bmatrix} -\mathbf{p}_1 \\ \vdots \\ -\mathbf{p}_m \end{bmatrix}$  = identity matrix in  $\mathbb{K}[\mathbf{X}]^{m \times m}$

2. for  $i$  from 1 to  $d$ :

a. evaluate updated vector  $\begin{bmatrix} (\mathbf{p}_1 \cdot \mathbf{F})(\alpha_i) \\ \vdots \\ (\mathbf{p}_m \cdot \mathbf{F})(\alpha_i) \end{bmatrix} = (\mathbf{P} \cdot \mathbf{F})(\alpha_i)$

b. choose pivot  $\pi$  with smallest  $s_\pi$  such that  $(\mathbf{p}_\pi \cdot \mathbf{F})(\alpha_i) \neq 0$   
update pivot shift  $s_\pi = s_\pi + 1$

c. eliminate: /\* after this,  $\forall j \neq \pi, (\mathbf{p}_j \cdot \mathbf{F})(\alpha_i) = 0$  \*/  
for  $j \neq \pi$  do  $\mathbf{p}_j \leftarrow \mathbf{p}_j - \frac{(\mathbf{p}_j \cdot \mathbf{F})(\alpha_i)}{(\mathbf{p}_\pi \cdot \mathbf{F})(\alpha_i)} \mathbf{p}_\pi$ ;  $\mathbf{p}_\pi \leftarrow (X - \alpha_i) \mathbf{p}_\pi$

after  $i$  iterations:  $\mathbf{P}$  is an  $\mathbf{s}$ -reduced basis of solutions for  $(\alpha_1, \dots, \alpha_i)$









# fast algorithms

iterative algorithm [van Barel-Bultheel / Beckermann-Labahn]

parameters:  $d = 8$     $m = 4$     $s = (0, 2, 4, 6)$ ,   base field  $\mathbb{F}_{97}$

input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 1$       point:  $24, 31, 15, 32, 83, 27, 20, 59$

shift

$[1 \ 2 \ 4 \ 6]$

basis

$$\left[ \begin{array}{cccc|ccc} X + 73 & & & & 0 & & & 0 & 0 & 0 \\ 17 & & & & 1 & & & 0 & 0 & 0 \\ 2 & & & & 0 & & & 1 & 0 & 0 \\ 63 & & & & 0 & & & 0 & 1 & 0 \end{array} \right]$$

values

$$\left[ \begin{array}{cccc|cccc} 0 & 7 & 88 & 8 & 59 & 3 & 93 & 35 \\ 0 & 90 & 90 & 52 & 83 & 63 & 11 & 81 \\ 0 & 93 & 93 & 63 & 90 & 81 & 38 & 24 \\ 0 & 13 & 13 & 64 & 51 & 11 & 41 & 16 \end{array} \right]$$

# fast algorithms

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input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 2$       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[1 2 4 6]

basis

$$\begin{bmatrix} X + 73 & 0 & 0 & 0 \\ 17 & 1 & 0 & 0 \\ 2 & 0 & 1 & 0 \\ 63 & 0 & 0 & 1 \end{bmatrix}$$

values

$$\begin{bmatrix} 0 & 7 & 88 & 8 & 59 & 3 & 93 & 35 \\ 0 & 90 & 90 & 52 & 83 & 63 & 11 & 81 \\ 0 & 93 & 93 & 63 & 90 & 81 & 38 & 24 \\ 0 & 13 & 13 & 64 & 51 & 11 & 41 & 16 \end{bmatrix}$$

# fast algorithms

iterative algorithm [van Barel-Bultheel / Beckermann-Labahn]

parameters:  $d = 8$     $m = 4$     $s = (0, 2, 4, 6)$ ,   base field  $\mathbb{F}_{97}$

input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 2$       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[1 2 4 6]

basis

$$\left[ \begin{array}{cccc} X + 73 & 0 & 0 & 0 \\ X + 90 & 1 & 0 & 0 \\ 56X + 16 & 0 & 1 & 0 \\ 12X + 66 & 0 & 0 & 1 \end{array} \right]$$

values

$$\left[ \begin{array}{ccccccccc} 0 & 7 & 88 & 8 & 59 & 3 & 93 & 35 \\ 0 & 0 & 81 & 60 & 45 & 66 & 7 & 19 \\ 0 & 0 & 74 & 26 & 96 & 55 & 8 & 44 \\ 0 & 0 & 2 & 63 & 80 & 47 & 90 & 48 \end{array} \right]$$

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iteration:  $i = 2$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[2 2 4 6]

basis

$$\left[ \begin{array}{cccc} X^2 + 42X + 65 & 0 & 0 & 0 \\ X + 90 & 1 & 0 & 0 \\ 56X + 16 & 0 & 1 & 0 \\ 12X + 66 & 0 & 0 & 1 \end{array} \right]$$

values

$$\left[ \begin{array}{cccccccc} 0 & 0 & 47 & 8 & 61 & 85 & 44 & 10 \\ 0 & 0 & 81 & 60 & 45 & 66 & 7 & 19 \\ 0 & 0 & 74 & 26 & 96 & 55 & 8 & 44 \\ 0 & 0 & 2 & 63 & 80 & 47 & 90 & 48 \end{array} \right]$$



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input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 3$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[3 2 4 6]

basis

$$\begin{bmatrix} X^3 + 27X^2 + 17X + 92 & 0 & 0 & 0 \\ 54X^2 + 38X + 11 & 1 & 0 & 0 \\ 17X^2 + 91X + 54 & 0 & 1 & 0 \\ 66X^2 + 68X + 88 & 0 & 0 & 1 \end{bmatrix}$$

values

$$\begin{bmatrix} 0 & 0 & 0 & 39 & 74 & 50 & 26 & 52 \\ 0 & 0 & 0 & 7 & 41 & 0 & 55 & 74 \\ 0 & 0 & 0 & 65 & 66 & 45 & 77 & 20 \\ 0 & 0 & 0 & 9 & 32 & 31 & 84 & 29 \end{bmatrix}$$

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iteration:  $i = 4$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[3 2 4 6]

basis

$$\left[ \begin{array}{l} X^3 + 27X^2 + 17X + 92 \\ 54X^2 + 38X + 11 \\ 17X^2 + 91X + 54 \\ 66X^2 + 68X + 88 \end{array} \quad \begin{array}{l} 0 \\ 1 \\ 0 \\ 0 \end{array} \quad \begin{array}{l} 0 \\ 0 \\ 1 \\ 0 \end{array} \quad \begin{array}{l} 0 \\ 0 \\ 0 \\ 1 \end{array} \right]$$

values

$$\left[ \begin{array}{cccccccc} 0 & 0 & 0 & 39 & 74 & 50 & 26 & 52 \\ 0 & 0 & 0 & 7 & 41 & 0 & 55 & 74 \\ 0 & 0 & 0 & 65 & 66 & 45 & 77 & 20 \\ 0 & 0 & 0 & 9 & 32 & 31 & 84 & 29 \end{array} \right]$$

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iteration:  $i = 4$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[3 3 4 6]

basis

$$\left[ \begin{array}{l} X^3 + 31X^2 + 27X + 3 \\ 54X^3 + 56X^2 + 56X + 36 \\ 56X^2 + 43X + 35 \\ 52X^2 + 33X + 60 \end{array} \quad \begin{array}{l} 36 \\ X + 65 \\ 60 \\ 68 \end{array} \quad \begin{array}{l} 0 \\ 0 \\ 1 \\ 0 \end{array} \quad \begin{array}{l} 0 \\ 0 \\ 0 \\ 1 \end{array} \right]$$

values

$$\left[ \begin{array}{cccccccc} 0 & 0 & 0 & 0 & 95 & 50 & 66 & 0 \\ 0 & 0 & 0 & 0 & 54 & 0 & 19 & 58 \\ 0 & 0 & 0 & 0 & 4 & 45 & 79 & 95 \\ 0 & 0 & 0 & 0 & 7 & 31 & 41 & 17 \end{array} \right]$$



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input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 5$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[4 3 4 6]

basis

$$\left[ \begin{array}{cc} X^4 + 45X^3 + 73X^2 + 90X + 42 & 36X + 19 & 0 & 0 \\ 81X^3 + 20X^2 + 9X + 20 & X + 67 & 0 & 0 \\ 2X^3 + 21X^2 + 41 & 35 & 1 & 0 \\ 52X^3 + 15X^2 + 79X + 22 & 0 & 0 & 1 \end{array} \right]$$

values

$$\left[ \begin{array}{ccccccccc} 0 & 0 & 0 & 0 & 0 & 13 & 13 & 0 \\ 0 & 0 & 0 & 0 & 0 & 89 & 55 & 58 \\ 0 & 0 & 0 & 0 & 0 & 48 & 17 & 95 \\ 0 & 0 & 0 & 0 & 0 & 12 & 78 & 17 \end{array} \right]$$

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input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^\top$

iteration:  $i = 6$       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[4 4 4 6]

basis

$$\begin{bmatrix} X^4 + 19X^3 + 57X^2 + 44X + 26 & 74X + 43 & 0 & 0 \\ 81X^4 + 64X^3 + 51X^2 + 68X + 42 & X^2 + 40X + 34 & 0 & 0 \\ 3X^3 + 44X^2 + 54X + 64 & 6X + 49 & 1 & 0 \\ 28X^3 + 45X^2 + 44X + 52 & 50X + 52 & 0 & 1 \end{bmatrix}$$

values

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 66 & 70 \\ 0 & 0 & 0 & 0 & 0 & 0 & 3 & 13 \\ 0 & 0 & 0 & 0 & 0 & 0 & 56 & 55 \\ 0 & 0 & 0 & 0 & 0 & 0 & 15 & 7 \end{bmatrix}$$

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input:  $(24, 31, 15, 32, 83, 27, 20, 59)$  and  $\mathbf{F} = [1 \ L \ L^2 \ L^3]^T$

iteration:  $i = 7$                       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[5 4 4 6]

basis

$$\begin{bmatrix} X^5 + 96X^4 + 65X^3 + 68X^2 + 19X + 62 & 74X^2 + 18X + 13 & 0 & 0 \\ 6X^4 + 94X^3 + 44X^2 + 66X + 32 & X^2 + 19X + 10 & 0 & 0 \\ 55X^4 + 78X^3 + 75X^2 + 49X + 39 & 2X + 86 & 1 & 0 \\ 13X^4 + 81X^3 + 10X^2 + 34X + 2 & 42X + 29 & 0 & 1 \end{bmatrix}$$

values

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 14 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 25 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 44 \end{bmatrix}$$

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iteration:  $i = 8$       point: 24, 31, 15, 32, 83, 27, 20, 59

shift

[5 5 4 6]

basis

$$\begin{bmatrix} X^5 + 12X^4 + 10X^3 + 34X^2 + 65X + 2 & 60X^2 + 43X + 67 & 0 & 0 \\ 6X^5 + 31X^4 + 27X^3 + 89X^2 + 18X + 52 & X^3 + 57X^2 + 53X + 89 & 0 & 0 \\ 2X^4 + 56X^3 + 42X^2 + 48X + 15 & 72X^2 + 12X + 30 & 1 & 0 \\ 40X^4 + 19X^3 + 14X^2 + 40X + 49 & 53X^2 + 79X + 74 & 0 & 1 \end{bmatrix}$$

values

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

# fast algorithms

iterative algorithm [van Barel-Bultheel / Beckermann-Labahn]

*to be continued...*

# outline

## introduction

- ▶ rational approximation and interpolation
- ▶ the vector case
- ▶ pol. matrices: reminders and motivation

## shifted reduced forms

- ▶ reducedness: examples and properties
- ▶ shifted forms and degree constraints
- ▶ stability under multiplication

## fast algorithms

- ▶ iterative algorithm and output size
- ▶ **base case: modulus of degree 1**
- ▶ **recursion: residual and basis multiplication**

## applications

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- ▶ minimal kernel bases and linear systems
- ▶ fast gcd and extended gcd
- ▶ perspectives

# summary

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